

Derived Functors in HoTT

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3. F -Projectives & Tor_*^R
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1. Introduction: First definitions of Derived Functors

Let R be a ring, \mathcal{B} an abelian category, and $F : \text{Mod}_R \rightarrow \mathcal{B}$ a right-exact functor. The **n-th left derived functor** is

$$L_n F A := H_n(F p A)$$

where $p A$ is a projective resolution of A .

The choice of a projective resolution only exists if the category Mod_R has enough projective objects.

Mod_R has enough projectives by the *axiom of choice* (AoC).

1. Introduction: Homological algebra in HoTT

Developing homological methods **internal to Homotopy Type Theory (HoTT)**.

Earlier work done by Flaten 2023, and Christensen and Flaten 2024.

HoTT is a language to reason about ∞ -toposes, e.g. ∞ -category of spaces and sheaves on sites of spaces.

We restrict to the 1-topos of sets in an ∞ -topos:

- Sets are 0-truncated objects
- Abelian groups are abelian group objects of sets
- Rings are ring objects of sets
- Etc...

HoTT has to be *constructive*. We **cannot** assume the Axiom of Choice (AoC).

Question: How do we compute derived functors without resolutions?

2. Derived Functors: Ext

Fix abelian category \mathcal{A} .

$\text{Ext}_{\mathcal{A}}^n$ are the right-derived functors of $\text{Hom}_{\mathcal{A}}$.

Proposition (Yoneda 1954, Christensen and Flaten 2024)

$\text{Ext}_{\mathcal{A}}^n$ is isomorphic to the sets:

- $n = 0$: $\text{Ext}_{\mathcal{A}}^0(B, A) := \text{Hom}_{\mathcal{A}}(B, A)$.
- $n = 1$: $\text{Ext}_{\mathcal{A}}^1(B, A)$ is the set of SES $A \hookrightarrow E \twoheadrightarrow B$ modulo isomorphism of SES.
- $n > 0$: $\text{Ext}_{\mathcal{A}}^n(B, A)$ is the set of n -fold exact sequences

$$0 \longrightarrow A \longrightarrow \cdots \longrightarrow B \longrightarrow 0$$

modulo an equivalence relation.

$\text{Ext}_{\mathcal{A}}^1$ is an abelian groups under the "Baer sum" \oplus .

The SES $A \hookrightarrow A \oplus B \twoheadrightarrow B$ is the 0-element.

2. Derived Functors: Homological δ -Functors

A **homological δ -functor** (F, δ^F) is $\{F_n : \mathcal{A} \rightarrow \mathcal{B}\}$ such that for any SES $A \xrightarrow{i} E \xrightarrow{p} B$ there are connecting morphisms $\delta_n^F(i, p) : F_{n+1}B \rightarrow F_nA$, natural in (i, p) , which yields a chain complex

$$\begin{array}{ccccccc} \cdots & \longrightarrow & F_n A & \xrightarrow{F_n(i)} & F_n E & \xrightarrow{F_n(p)} & F_n B \\ & & & & \delta_{n-1}^F(i, p) & \searrow & \\ & & \cdots & \longrightarrow & F_0 A & \xrightarrow{F_0(i)} & F_0 E & \xrightarrow{F_0(p)} & F_0 B \end{array}$$

A δ -functor is **exact** if the induced chain complexes are exact.

A δ -functor (F, δ^F) is **left-universal** if for any other δ -functor (T, δ^T) , there is a natural isomorphism

$$res_0 : Fun_\delta((T, \delta^T), (F, \delta^F)) \rightarrow Nat(T_0, F_0)$$

2. Derived Functors: Cohomological δ -Functors

A **cohomological δ -functor** (F, δ^F) is $\{F^n : \mathcal{A} \rightarrow \mathcal{B}\}$ such that for any SES $A \xhookrightarrow{i} E \xrightarrow[p]{\twoheadrightarrow} B$ there are connecting morphisms $\delta_F^n(i, p) : F^n B \rightarrow F^{n+1} A$, natural in (i, p) , which yields a chain complex

$$\begin{array}{ccccccc} \cdots & \longleftarrow & F^n A & \xleftarrow{F^n(p)} & F^n E & \xleftarrow{F^n(i)} & F^n B \\ & & & & & & \uparrow \delta_F^{n-1}(i, p) \\ & & & & & & \downarrow \\ & & \cdots & \longleftarrow & F^0 A & \xleftarrow{F^0(p)} & F^0 E & \xleftarrow{F^0(i)} & F^0 B \end{array}$$

A δ -functor is **exact** if the induced chain complexes are exact.

A δ -functor (F, δ_F) is **right-universal** if for any other δ -functor (T, δ_T) , there is a natural isomorphism

$$res^0 : Fun^\delta((F, \delta_F), (T, \delta_T)) \rightarrow Nat(F^0, T^0)$$

2. Derived Functors: δ -Pair

A δ -pair (F_1, F, δ^F) consists of two functors $F, F^1 : \mathcal{A} \rightarrow \mathcal{B}$ and for every SES $A \xhookrightarrow{i} E \xrightarrow{p} B$ there is a connecting morphism $\delta^F(i, p) : F_1 B \rightarrow FA$, natural in (i, p) , which yields a 6-term chain complex

$$\begin{array}{ccccc} F_1 A & \xrightarrow{F_1(i)} & F_1 E & \xrightarrow{F_1(p)} & F_1 B \\ & & \delta^F(i, p) & \nearrow & \\ \hookrightarrow FA & \xrightarrow{F(i)} & FE & \xrightarrow{F(p)} & FB \end{array}$$

A δ -pair is **exact** if the induced chain complexes are exact.

A δ -pair (F_1, F, δ^F) is **left-universal** if for any other δ -pair (T_1, T, δ^T) , there is a natural isomorphism

$$res^0 : Fun_\delta((T_1, T, \delta^T), (F_1, F, \delta^F)) \rightarrow Nat(T, F)$$

2. Derived Functors: Ext as a δ -Functor

Example

Fix $M \in \mathcal{A}$.

$(\text{Ext}_{\mathcal{A}}^n(M, \cdot), \delta_{\text{Hom}_{\mathcal{A}}})$ is an exact right-universal cohomological δ -functor.

Every SES $A \hookrightarrow E \twoheadrightarrow B$ induces an exact sequence

$$\begin{array}{ccccccc} \text{Hom}_{\mathcal{A}}(M, A) & \xrightarrow{i_*} & \text{Hom}_{\mathcal{A}}(M, E) & \xrightarrow{p_*} & \text{Hom}_{\mathcal{A}}(M, B) & & \\ & & \delta_{\text{Hom}_{\mathcal{A}}}^0 & & & & \\ & \swarrow & & \searrow & & & \\ \text{Ext}_{\mathcal{A}}^1(M, A) & \xrightarrow{i_*} & \text{Ext}_{\mathcal{A}}^1(M, E) & \xrightarrow{p_*} & \text{Ext}_{\mathcal{A}}^1(M, B) & & \\ & & \delta_{\text{Hom}_{\mathcal{A}}}^1 & & & & \\ & \swarrow & & \searrow & & & \\ \text{Ext}_{\mathcal{A}}^2(M, A) & \xrightarrow{i_*} & \text{Ext}_{\mathcal{A}}^2(M, E) & \xrightarrow{p_*} & \text{Ext}_{\mathcal{A}}^2(M, B) & \longrightarrow & \dots \end{array}$$

2. Derived Functors: Left-Satellite Functors

Let $F : \mathcal{A} \rightarrow \mathcal{B}$ and $W : \mathcal{A} \rightarrow \mathbf{Ab}$ be additive functors.

If there exists a representing object for the functor

$$T \mapsto \mathrm{Nat}(W, \mathcal{B}(T, F))$$

*we call it the **functor-hom exponential**, and denote it by $\{W, F\}^{\mathcal{A}}$.*

Here $\mathcal{B}(T, F)(A) := \mathcal{B}(T, FA)$ for any $T \in \mathcal{A}$.

The **first left-satellite functor** $S_1 F$ is

$$S_1 F A := \{\mathrm{Ext}^1(A, \cdot), F\}^{\mathcal{A}}$$

If $S_1 F A$ exists for every $A \in \mathcal{A}$, then we obtain a functor $S_1 F$.

Higher satellites are defined iteratively: $S_{n+1} F := S_1 S_n F$.

Theorem (Yoneda 1960, Buchsbaum 1960)

If $S_1 F$ exists, then $(S_1 F, F, \delta_F)$ is a left-universal homological δ -pair.

2. Derived Functors: Buchsbaum's Construction

Fix $B \in \mathcal{A}$.

Let $\int_{A:\mathcal{A}} \text{Ext}_{\mathcal{A}}^1(B, A)$ be the category of SES ending in B .

Morphisms are diagrams on the form:

$$\begin{array}{ccccc} A & \hookrightarrow & E & \twoheadrightarrow & B \\ \downarrow & & \downarrow & & \downarrow \text{id} \\ A' & \hookrightarrow & E' & \twoheadrightarrow & B \end{array}$$

Define the functor

$$K_B^F : \int_{A:\mathcal{A}} \text{Ext}_{\mathcal{A}}^1(B, A) \rightarrow \mathcal{B} \quad \text{by} \quad A \hookrightarrow E \xrightarrow{p} B \mapsto \text{Ker}(F(i))$$

Theorem

If $\varprojlim K_B^F$ exists, then it is a model for the left-satellite functor S_1FB .

3. F-Projectives and Tor: \mathcal{P} -Covers

Let $\mathcal{P} \subseteq \mathcal{A}$ and $\mathcal{P}_B := \{A \hookrightarrow P \twoheadrightarrow B \mid P \in \mathcal{P}\} \subseteq \int_{A:\mathcal{A}} \text{Ext}_{\mathcal{A}}^1(B, A)$.

\mathcal{A} has **enough \mathcal{P} -covers** if for any $A \in \mathcal{A}$, there is an object $P \in \mathcal{P}$ with an epi $P \twoheadrightarrow A$.

Lemma (Buchsbaum 1960)

If \mathcal{A} has enough \mathcal{P} -covers, then \mathcal{P}_B is final in $\int_{A:\mathcal{A}} \text{Ext}_{\mathcal{A}}^1(B, A)$.

Example

Let $\text{Free}_R \subseteq \text{Mod}_R$ be the collection of free R -modules.
There are enough Free_R -covers as $R[M] \twoheadrightarrow M$.

Example

Let $\text{Proj}_{\mathcal{A}} \subseteq \mathcal{A}$ be the collection of projectives.
 \mathcal{A} has enough \mathcal{P} -covers if it has enough projectives.

3. F-Projectives and Tor: \mathcal{P} Left-Exact

Let $\mathcal{P} \subseteq \mathcal{A}$.

$F : \mathcal{A} \rightarrow \mathcal{B}$ is **\mathcal{P} left-exact** if for every SES $A \xrightarrow{i} E \xrightarrow{p} P$ where $P \in \mathcal{P}$, $F(i)$ is the kernel of $F(p)$.

Lemma (Röhl 1962)

If F is \mathcal{P} left-exact, then the functor $K_B^F|_{\mathcal{P}_B}$ is essentially constant.

Example

An R -module F is **flat** if the functor $F \otimes_R \cdot$ is exact.

Let $\text{Flat}_R \subseteq \text{Mod}_R$ be the flat R -modules.

For $M \in \text{Mod}_R$, the functor $\cdot \otimes_R M$ is Flat_R left-exact.

Example

Any additive functor $F : \mathcal{A} \rightarrow \mathcal{B}$ is $\text{Proj}_{\mathcal{A}}$ left-exact.

3. F-Projectives and Tor: F-Projectives

Let $\mathcal{P} \subseteq \mathcal{A}$ and $F : \mathcal{A} \rightarrow \mathcal{B}$. \mathcal{P} is a **collection of F-projectives** if:

- \mathcal{A} has enough \mathcal{P} -covers,
- F is \mathcal{P} left-exact.

Pick a SES $A \xrightarrow{i} P \twoheadrightarrow B$ such that P is F -projective, then
 $S_1 F B := \varprojlim K_B^F \simeq \varprojlim K_B^F|_{\mathcal{P}_B} \simeq \text{Ker}(F(i))$

A functor $F : \text{Mod}_R \rightarrow \mathcal{B}$ is **half-exact** if every SES $A \xrightarrow{i} E \xrightarrow{p} B$ is mapped to an exact sequence $FA \rightarrow FE \rightarrow FB$.

Theorem (T.)

*Suppose that F is a half-exact functor. If \mathcal{P} is a collection of F -projectives, then $(S_1 F, F, \delta^F)$ is an **exact** left-universal δ -pair.*

3. F-Projectives and Tor: IsFree_R is $\cdot \otimes_R M$ -Projective I

To use theorem with $(\cdot) \otimes_R M$, we must show that any free R -module is flat

Lemma (Mines, Richman, and Ruitenburg 1988, Flaten 2023)

A free R -module N is flat.

Proof.

R is projective, therefore also flat.

Since N is free, there exists a set X such that $N \simeq R[X]$.

Arbitrary direct sums of flat is flat, and $N = \bigoplus_{x \in X} R$, so N is flat. □

Theorem (T.)

*The first left-satellite $\text{Tor}_1^R(\cdot, M) := S_1((\cdot) \otimes_R M)$ exists
and $(\text{Tor}_1^R(\cdot, M), (\cdot) \otimes_R M, \delta^{(\cdot) \otimes_R M})$ is an exact left-universal δ -pair.*

3. F-Projectives and Tor: Tor by F-Projectives

A collection $\mathcal{P} \subseteq \mathcal{A}$ is **right-hereditary**

if any epi $p : P \twoheadrightarrow Q$ with $P, Q \in \mathcal{P}$ has $\text{Ker}(f) \in \mathcal{P}$.

Theorem (Röhl 1962, T.)

Let \mathcal{P} be a right-hereditary collection of F -projectives. Then there exists an exact left-universal homological δ -functor (SF, δ_F) such that $S_0 F \simeq F$.

Lemma (Lombardi and Quitté 2015)

Let $f : A \twoheadrightarrow B$ be a surjection between flat modules, then $\text{Ker}(f)$ is flat.

Theorem (T.)

There is an exact left-universal homological δ -functor $\text{Tor}_R^((\cdot), M)$ such that $(\cdot) \otimes_R M = \text{Tor}_R^0((\cdot), M)$.*

Furthermore, these groups can be computed by free resolutions.

4. Relative Homological Algebra: Relative Ext

Let \mathcal{A} be an additive category.

An **exact structure** on \mathcal{A} is a collection \mathcal{E} of SES, plus axioms.

Each exact structure yields an $\text{Ext}_{\mathcal{E}}^1$ functor.

Can define δ -functor and satellite-functor relative to \mathcal{E} .

Exact substructures $\mathcal{E}' \subseteq \mathcal{E}$ biject with *biadditive half-exact subfunctors* $F \subseteq \text{Ext}_{\mathcal{E}}^1$.

\mathcal{E}_{\min} is the collection of split short exact sequences.

Let \mathcal{A} be abelian.

\mathcal{E}_{\max} is the collection of all short exact sequences.

4. Relative Homological Algebra: Split-Epi Exact Structure

Let R be a ring.

An epi $f : A \twoheadrightarrow B$ is set-split if the morphism admits a section on the underlying sets.

Proposition (Christensen-T.)

The collection $\mathcal{E}_{\text{set-split}}$ of SES with set-split epi is an exact structure.

Every free module is $\mathcal{E}_{\text{set-split}}$ -projective.

$$\begin{array}{ccc} & R[X] & \\ \swarrow \text{---} & \downarrow & \searrow \text{---} \\ A & \twoheadrightarrow B & \end{array} \quad \Leftrightarrow \quad \begin{array}{ccc} & X & \\ & \downarrow & \\ UA & \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \end{array} & UB \end{array}$$

Tor_R^* and $\text{Tor}_{R, \text{set-split}}^*$ coincide.

Assuming the axiom of choice, then $(\text{Mod}_R, \mathcal{E}_{\text{max}}) \simeq (\text{Mod}_R, \mathcal{E}_{\text{set-split}})$.

4. Relative Homological Algebra: \mathbf{FP}_∞ -Exact Structure

$A \in \text{Mod}_R$ is of type \mathbf{FP}_∞ if it admits a projective resolution by finite rank free modules.

$I \in \text{Mod}_R$ is **\mathbf{FP}_∞ -injective** if any mono $i : A \hookrightarrow B$ between \mathbf{FP}_∞ -modules is mapped to an epi $\text{Hom}_R(i, I)$.

Proposition (Christensen-T.)

The collection $\mathcal{E}_{\mathbf{FP}_\infty}$ of SES with monos $i : A \rightarrow B$ being mapped to an epi $\text{Hom}_R(i, I)$ for any \mathbf{FP}_∞ -injective I is an exact structure.

$\text{Ext}_R^1(A, \cdot) \simeq \text{Ext}_{\mathbf{FP}_\infty}^1(A, \cdot)$ whenever A is of type \mathbf{FP}_∞ .

There are enough \mathbf{FP}_∞ -injectives

\mathbf{FP}_∞ -injectives are divisible.

Assuming the axiom of choice, then $(\text{Ab}, \mathcal{E}_{\max}) \simeq (\text{Ab}, \mathcal{E}_{\mathbf{FP}_\infty})$.

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Thank you!