Joyal's representation theorem for Heyting categories

Julia Morin

Master's thesis supervised by Steve Awodey and Mathieu Anel Joint work with Reid Barton and Jonas Frey

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Theorem (Joyal)

For any small Heyting category \mathcal{H} , there is a small category \mathbb{C} and a conservative Heyting functor $\mathcal{H} \hookrightarrow \mathbf{Set}^{\mathbb{C}}$.

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A model theoretic proof has been presented by Makkai and Reyes in 1977.

Goal: to provide a categorical approach

- Posetal case : **Heyting algebras**For any Heyting algebra \mathcal{H} , there is a poset X and an injective homomorphism of Heyting algebras $\mathcal{H} \hookrightarrow 2^X$
- Stone representation theorem For any Boolean algebra \mathcal{B} , there is a set X and an injective Boolean homomorphism $\mathcal{B} \hookrightarrow 2^X$

$\textbf{Representation theorems} \Leftrightarrow \textbf{completeness theorems}$

through the construction of *syntactic categories*, build from theories.

Representation theorems \Leftrightarrow completeness theorems

through the construction of syntactic categories, build from theories.

 \bullet For any coherent theory $\mathbb T$, there is a coherent category $\mathcal C_{\mathbb T}$ such that

$$\operatorname{Coh}\left(\mathcal{C}_{\mathbb{T}},\mathbf{Set}\right)\simeq\operatorname{Mod}(\mathbb{T})$$

Introduction - Heyting categories

- ullet A finitely complete category ${\cal C}$ is regular if and only if :
 - ullet any arrow in ${\mathcal C}$ factorizes as a regular epimorphism followed by a monomorphism;
 - these factorizations are pullback-stable.
- A coherent category is a regular category in which posets of subobjects $\operatorname{Sub}(a)$ have finite unions (i.e coproducts) and each pullback functor $f^{-1}:\operatorname{Sub}(b)\to\operatorname{Sub}(a)$ preserves them.

Introduction - Heyting categories

• A Heyting category is a coherent category in which for each map $f: a \to b$, the pullback functor $f^{-1}: \operatorname{Sub}(b) \to \operatorname{Sub}(a)$ has a right adjoint $\forall_f:$

$$\operatorname{Sub}(b) \xrightarrow{\int_{\forall_f}^{f^{-1}}} \operatorname{Sub}(a)$$

Example : Any presheaf category. In particular, $PSh(\mathbb{C}^{op}) = \mathbf{Set}^{\mathbb{C}}$

Introduction - Deligne's theorem

Let $\ensuremath{\mathcal{H}}$ be a small coherent category.

Theorem

For any morphism $f: a \to b$ in \mathcal{H} , if $M(a) \cong M(b)$ for all coherent functor $M: \mathcal{H} \to \mathbf{Set}$, then f is an isomorphism.

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⇒ Gödel completeness for first-order logic

Notations:

- · $Lex(\mathcal{C},\mathcal{D})$: category of left exact functors between finitely complete categories $\mathcal C$ and $\mathcal D$
- $\cdot~\mathrm{Coh}(\mathcal{C},\mathcal{D})$: category of coherent functors between coherent categories $\mathcal C$ and $\mathcal D$

Theorem (Joyal)

For any small Heyting category \mathcal{H} , there is a small category \mathbb{C} and a conservative Heyting functor $\mathcal{H} \hookrightarrow \mathbf{Set}^{\mathbb{C}}$.

For the proof, we show that $\mathbb C$ can be taken to be the category of coherent functors $\mathrm{Coh}(\mathcal H,\mathbf{Set})$ and that the functor is given by :

 $ev: \mathcal{H} \hookrightarrow \operatorname{Set}^{\mathbb{C}}$

$$\begin{array}{ccc}
a &\longmapsto (F \mapsto F(a)) \\
\downarrow^f & & \downarrow^{ev(f)_F = Ff} \\
b &\longmapsto (F \mapsto F(b))
\end{array}$$

- Conservativity of the functor: Deligne's theorem
- Coherence of the functor : $\mathbb{C} = \operatorname{Coh}(\mathcal{H}, \mathbf{Set})$
- Preservation of the Heyting structure : we need to show that for any $f:a\to b$, and for any $u\in \operatorname{Sub}(a)$,

$$\forall_{ev(f)}(ev(u)) = ev(\forall_f(u))$$

On objects : for any coherent functor $M \in \mathbb{C}$, we need to show that

$$\forall_{ev(f)}(ev(u))(M) = M(\forall_f(u))$$

.

ullet Using the definition of the universal quantification in presheaves and the definition of ev:

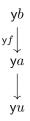
$$\forall_{ev(f)}(ev(u))(M) = \{x \in M(b) \mid \text{ for all } h: M \to N \text{ in } \mathrm{Coh}(\mathcal{H}, \mathbf{Set}),$$
 for all $y \in N(a)$, if $Nf(y) = h_b(x)$ then $y \in N(u)$ }

• Therefore, to show $\forall_{ev(f)}(ev(u))(M) \subseteq M(\forall_f(u))$, we assume $x \in M(b)$, $x \notin M(\forall_f u)$ and we need to show that :

there exists $N \in \operatorname{Coh}(\mathcal{H}, \mathbf{Set})$, $h: M \to N$ and $y \in N(a)$ such that $Nf(y) = h_b(x)$ but $y \notin N(u)$

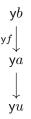
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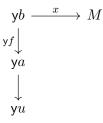
We have $f: a \to b$, $u \in \operatorname{Sub}(a)$ and $M \in \operatorname{Coh}(\mathcal{H}, \mathbf{Set})$

Suppose $x \in M(b)$ but $x \notin M(\forall_f u)$



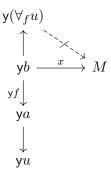
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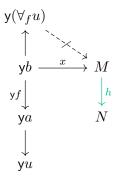
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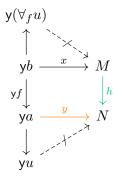
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WTS: there exists $N \in Coh(\mathcal{H}, \mathbf{Set})$, $h: M \to N$

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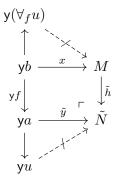


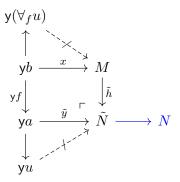
WTS : there exists $N\in \mathrm{Coh}(\mathcal{H},\mathbf{Set}),\ h:M\to N$ and $y\in N(a)$ such that $Nf(y)=h_b(x)$ but $y\notin N(u)$

$$\begin{array}{ccc} \mathsf{y}b & \stackrel{x}{\longrightarrow} & M \\ \mathsf{y}f \bigg| & & & \int \tilde{h} \\ \mathsf{y}a & \stackrel{\tilde{y}}{\longrightarrow} & \tilde{N} \end{array}$$

$$\begin{array}{ccc} \mathsf{y}b & \xrightarrow{x} & M \\ \mathsf{y}f \bigg| & & \int \tilde{h} \\ \mathsf{y}a & \xrightarrow{\tilde{y}} & \tilde{N} \end{array}$$

- ullet $ilde{N}f(ilde{y})= ilde{h}_b(x)$ by commutation of the diagram
- $\tilde{y} \notin \tilde{N}(u)$ by our assumption $x \notin M(\forall_f u)$ and the adjunction $f^{-1} \dashv \forall_f$





Second step : A new category $\mathcal{H}(\tilde{N})$

$\underline{\textbf{Second step:}} \ \mathbf{A} \ \mathbf{new \ category} \ \mathcal{H}(\tilde{N})$

- $\mathcal{H}(\tilde{N})^{op} \hookrightarrow \tilde{N}/\operatorname{Lex}(\mathcal{H},\mathbf{Set})$ is the full subcategory
- ullet Its object are pushouts of maps in ${\cal H}$, e.g. :

$$\begin{array}{ccc} \mathrm{y} d & \longrightarrow & \tilde{N} \\ \downarrow & & \downarrow & \tilde{h} \\ \mathrm{y} c & \longrightarrow & Y \end{array}$$

for a map $c \to d$ in \mathcal{H} .

$\underline{ \textbf{Second step} : \textbf{A new category } \mathcal{H}(\tilde{N}) }$

- $\tilde{N} \in \text{Lex}(\mathcal{H}, \mathbf{Set}) \Rightarrow \tilde{N} \cong \text{colim}_{i \in I} \, \mathsf{y} \tilde{N}_i$ for I a filtered category.
- $\mathcal{H}(\tilde{N}) \simeq \operatorname{colim}_{i \in I} \mathcal{H}/\tilde{N}_i$

Lemma

A filtered colimit of coherent categories and coherent functors between them is coherent.

 $\Rightarrow \mathcal{H}(\tilde{N})$ is coherent.

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Lemma

A filtered colimit of coherent categories and coherent functors between them is coherent.

$$\Rightarrow \mathcal{H}(\tilde{N})$$
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Moreover, $\operatorname{Coh}(\mathcal{H}(\tilde{N}), \mathbf{Set}) \simeq \tilde{N}/\operatorname{Coh}(\mathcal{H}, \mathbf{Set})$

Third step: Deligne's theorem, again

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 $\text{ There is an object } Y \text{ in } \mathcal{H}(\tilde{N}): \begin{array}{c} \operatorname{ya} & \stackrel{\tilde{y}}{\longrightarrow} \tilde{N} \\ \downarrow & & \downarrow \\ \operatorname{yu} & \stackrel{\Gamma}{\longrightarrow} Y \end{array} \text{ such that } Y \ncong \tilde{N}.$

Theorem (Deligne)

For any morphism $f: a \to b$ in \mathcal{H} , if $M(a) \cong M(b)$ for all $M \in \mathrm{Coh}(\mathcal{H}, \mathbf{Set})$, then f is an isomorphism.

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 \bullet There exists $N \in \mathrm{Coh}(\mathcal{H}(\tilde{N}), \mathbf{Set})$ such that $N(Y) \ncong N(\tilde{N})$

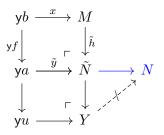
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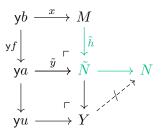
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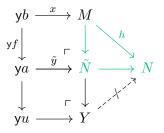
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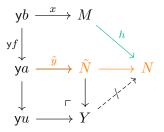
- There exists $N \in \mathrm{Coh}(\mathcal{H}(\tilde{N}), \mathbf{Set})$ such that $N(Y) \ncong N(\tilde{N})$
- Since $Coh(\mathcal{H}(\tilde{N}), \mathbf{Set}) \simeq \tilde{N}/Coh(\mathcal{H}, \mathbf{Set})$, we have

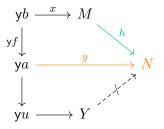
$$\begin{array}{c}
\tilde{N} \longrightarrow N \\
\downarrow \\
Y
\end{array}$$

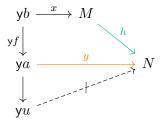


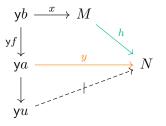












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For any intuitionistic first-order theory \mathbb{T} , one can construct its *syntactic* category $\mathcal{C}_{\mathbb{T}}$.

- ullet $\mathcal{C}_{\mathbb{T}}$ is a Heyting category.
- $m{\circ}$ $\mathcal{C}_{\mathbb{T}}$ contains a *universal model* U such that a formula (of IFOL) is provable from the axioms of \mathbb{T} if and only if this formula holds in U.

$$\mathbb{T} \text{ proves } (\Gamma \mid \varphi) \quad \text{ iff } \quad U \models (\Gamma \mid \varphi)$$

Theorem (Kripke Completeness of IFOL, 1965)

If a formula of IFOL holds in every Kripke model, then it is provable in Heyting predicate calculus.

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- Kripke semantics agree with semantics in presheaves of the form \mathbf{Set}^K , with K a poset
- Diaconescu cover : There exists a poset K and a conservative, Heyting functor $\mathbf{Set}^{\mathbb{C}} \hookrightarrow \mathbf{Set}^K$

Let $\ensuremath{\mathbb{T}}$ be an IFO theory, consider Joyal's theorem for its syntactic category

$$ev: \mathcal{C}_{\mathbb{T}} \hookrightarrow \mathbf{Set}^{\mathbb{C}}$$

with $\mathbb{C} = \operatorname{Coh}(\mathcal{C}_{\mathbb{T}}, \mathbf{Set})$.

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• Since ev is a Heyting functor, the image of the universal model U in $\mathcal{C}_{\mathbb{T}}$ under ev is again a model ev(U) of \mathbb{T} .

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- Since ev is a Heyting functor, the image of the universal model U in $\mathcal{C}_{\mathbb{T}}$ under ev is again a model ev(U) of \mathbb{T} .
- \bullet By conservativity of ev : if a formula holds in the model ev(U) it also holds in the model U, and therefore is provable.

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