

The Fundamental Groupoid in Discrete Homotopy Theory

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Based on joint work with Chris Kapulkin

October 28, 2023

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- E. Babson, H. Barcelo, M. de Longueville, and R. Laubenbacher, *Homotopy theory of graphs*, J. Algebraic Combin., 2006
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What is discrete homotopy theory?

Dramatis Personae:

A **graph** is a set equipped with a symmetric, reflexive relation \sim .

If $x \sim x'$ and $x \neq x'$, we draw an edge between the vertices corresponding to x and x' . We suppress the unique loop present at each vertex.

A **graph map** $f: X \rightarrow Y$ is a function that preserves the relation.

Thus, edges in X can be mapped to edges in Y or be contracted to single vertex in Y .

We write **Graph** for the the category of graphs and graph maps.

What is discrete homotopy theory?

Examples:

- The n -interval I_n , for $n \in \mathbb{N}$

0
•
 I_0

0 1
•—•
 I_1

0 1 2
•—•—•
 I_2

- The infinite interval I_∞

-1 0 1 2
•—•—•—•
 I_∞

i $i+1$
•—•

- The n -cycle C_n , for $n \geq 3$

0
•
•—•
2 1
 C_3

0 1
•—•
•—•
3 2
 C_4

1
•
0 •—•
4 •—• 3
 C_5

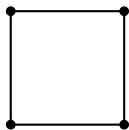
What is discrete homotopy theory?

The **box product** $X \square Y$ of two graphs X and Y is defined as follows:

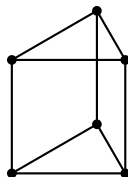
$$(X \square Y)_V = X_V \times Y_V$$

$$(X \square Y)_E = \left\{ (x, y) \sim (x', y') \mid \begin{array}{l} \text{either } x \sim x' \text{ and } y = y' \\ \text{or } x = x' \text{ and } y \sim y' \end{array} \right\}$$

Examples:



$$I_1 \square I_1$$



$$C_3 \square I_1$$

What is discrete homotopy theory?

Let X and Y be two graphs, and let $f, g: X \rightarrow Y$ be two graph maps

An A -homotopy $H: f \Rightarrow g$ of length $n \in \mathbb{N}$ is a map

$$H: X \square I_n \rightarrow Y$$

such that

$$H(-, 0) = f \text{ and } H(-, n) = g.$$

A graph map $f: X \rightarrow Y$ is an A -homotopy equivalence if there exists some graph map $g: Y \rightarrow X$ and homotopies $g \circ f \Rightarrow \text{id}_X$ and $f \circ g \Rightarrow \text{id}_Y$.

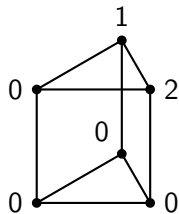
Examples:

- $I_n \xrightarrow{!} I_0$ is an A -homotopy equivalence for all $n \in \mathbb{N}$.
- $I_\infty \xrightarrow{!} I_0$ is NOT an A -homotopy equivalence.

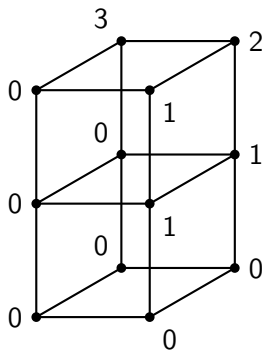
What is discrete homotopy theory?

Examples:

- $C_n \xrightarrow{!} I_0$ is an A -homotopy equivalence for $n = 3, 4$.



$$C_3 \square I_1 \rightarrow C_3$$



$$C_4 \square I_2 \rightarrow C_4$$

What is discrete homotopy theory?

Let X be a graph and $x, x' \in X_V$ be two vertices.

A **path** $\gamma: x \rightsquigarrow x'$ is a map

$$\gamma: I_\infty \rightarrow X$$

for which there exist integers $N_-, N_+ \in \mathbb{Z}$ such that

$$\gamma(i) = x \text{ for all } i \leq N_-$$

and

$$\gamma(i) = x' \text{ for all } i \geq N_+.$$

The **fundamental group** $A_1(X, x_0)$ of a pointed graph (X, x_0) is defined as follows:

$$A_1(X, x_0) = \{\text{paths in } X \text{ from } x_0 \text{ to itself}\} / \sim_*$$

Some areas in which discrete homotopy theory has found applications:

① Matroid theory

S. B. Maurer, *Matroid basis graphs*, J. Combinatorial Theory Ser. B, 1973

② Hyperplane arrangements

H. Barcelo, C. Severs, and J. A. White, *k-Parabolic subspace arrangements*, Trans. Am. Math. Soc., 2011

③ Topological data analysis

F. Mémoli and L. Zhou, *Persistent homotopy groups of metric spaces*, 2019.

The fundamental groupoid

The **fundamental groupoid** $\Pi_1 X$ of a graph X is defined as follows:

$$\Pi_1 X = \begin{cases} \text{objects:} & \text{vertices } x, x', \dots \text{ of } X \\ \text{morphisms:} & \text{path-homotopy classes } [\gamma] : x \rightarrow x' \text{ of} \\ & \text{paths } \gamma : x \rightsquigarrow x' \text{ in } X \end{cases}$$

We have $A_1(X, x_0) \cong \Pi_1 X(x_0, x_0)$.

Theorem (Kapulkin-M.)

For any graphs X and Y , we have:

$$\Pi_1(X \square Y) \cong \Pi_1 X \times \Pi_1 Y$$

Overview of contributions

- 1 A finite formulation of the homotopy groups
- 2 Covering graphs
- 3 Van Kampen theorem for graphs
- 4 Graphs with a prescribed fundamental group(oid)

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Covering graphs

The (star) **neighbourhood** N_x of a vertex x in a graph X consists of x and its neighbours, with an edge drawn between x and each of its neighbours.

A map $p: Y \rightarrow X$ is a **local isomorphism** if it restricts to an isomorphism between N_y and $N_{f(y)}$ for each $y \in Y$.

A map $p: Y \rightarrow X$ is a **covering** if, in addition to being a local isomorphism, it has the RLP against $\square: I_3 \rightarrow I_1 \sqcup I_1$.

$$\begin{array}{ccc} I_3 & \longrightarrow & Y \\ \square \downarrow & \nearrow & \downarrow p \\ I_1 \sqcup I_1 & \longrightarrow & X \end{array}$$

Example:

- $I_\infty \rightarrow C_n; i \mapsto i \bmod n$ is a local isomorphism for all $n \geq 3$, but a covering only for $n \geq 5$.

We write $\text{Cov}(X)$ for the full subcategory of $\text{Graph} \downarrow X$ on coverings.

Theorem (Kapulkin-M.)

- 1 For any graph X , we have: $\text{Cov}(X) \simeq \text{Set}^{\Pi_1 X}$.
- 2 For any pointed, connected graph (X, x_0) , we have:

$$\left\{ \begin{array}{c} \text{connected coverings} \\ \text{of } (X, x_0) \end{array} \right\} \simeq \left\{ \begin{array}{c} \text{subgroups of } A_1(X, x_0) \\ \text{ordered by } \subseteq \end{array} \right\}^{\text{op}}$$

Covering graphs

A pointed covering $(Y, y_0) \rightarrow (X, x_0)$ is called a **universal cover** if it is initial in the category of pointed coverings over (X, x_0) .

Theorem (Kapulkin-M.)

- 1 Every pointed graph (X, x_0) admits a universal cover $(\tilde{X}_{x_0}, [c_{x_0}])$.
- 2 A pointed covering $(Y, y_0) \rightarrow (X, x_0)$ is universal if and only if the graph Y is simply connected.
- 3 $A_1(X, x_0) \cong \text{Aut}_{\text{Cov}(X)}(\tilde{X}_{x_0})$.

Examples:

- The cycle graph C_n for $n = 3, 4$ has C_n itself as its universal cover.
- The cycle graph C_n for $n \geq 5$ has I_∞ as its universal cover.

Corollary

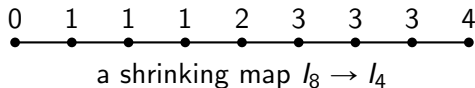
$$A_1(C_n, *) \cong \begin{cases} 0 & \text{if } n = 3, 4 \\ \mathbb{Z} & \text{if } n \geq 5 \end{cases}$$

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Van Kampen theorem for graphs

A map $s: I_m \rightarrow I_n$ is **shrinking** if it is order-preserving and surjective.

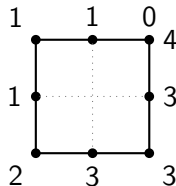
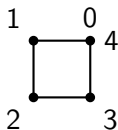


Let X be a graph and $h: I_1 \square I_1 \rightarrow X$ be any map.

A **net** of h is a pair (H, s) consisting of a map $H: I_m \square I_n \rightarrow X$ for some $m, n \in \mathbb{N}$, together with a shrinking map $s: I_{2m+2n} \rightarrow I_4$, such that:

$$H \circ \partial_{m,n} = h \circ \partial_{1,1} \circ s$$

Example:



Van Kampen theorem for graphs

Theorem (Kapulkin-M.)

Consider a pushout square in Graph as follows:

$$\begin{array}{ccc} X_0 & \longrightarrow & X_1 \\ \downarrow & & \downarrow \\ X_2 & \longrightarrow & X \end{array}$$

If every map $h: I_1 \sqcup I_1 \rightarrow X$ whose image in X is a 3- or 4-cycle admits a net (H, s) such that each cell $H_{i;j}$ of H factors through X_1 or X_2 , then the pushout square is preserved by the functor $\Pi_1: \text{Graph} \rightarrow \text{Gpd}$. That is, we have the following pushout square in Gpd:

$$\begin{array}{ccc} \Pi_1 X_0 & \longrightarrow & \Pi_1 X_1 \\ \downarrow & & \downarrow \\ \Pi_1 X_2 & \longrightarrow & \Pi_1 X \end{array}$$

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Graphs with a prescribed fundamental group(oid)

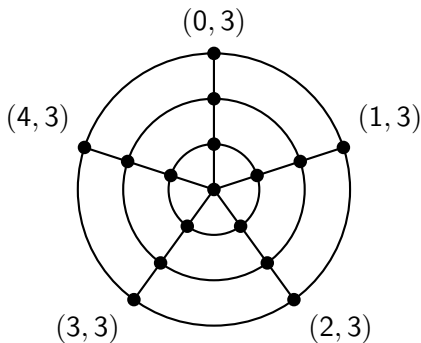
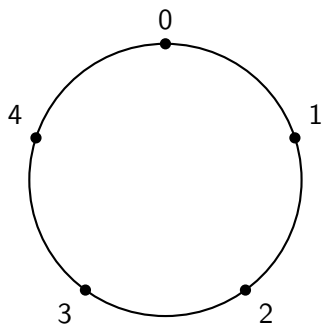
For $m \geq 3$ and $n \in \mathbb{N}$, let the (m, n) -disk $D_{m,n}$ be the following quotient:

$$D_{m,n} = C_m \square I_n / \sim \quad (i, 0) \sim (j, 0) \text{ for all } i, j \in C_m$$

and let the **boundary map** $\partial_{m,n}: C_m \rightarrow D_{m,n}$ be given by

$$\partial_{m,n}(i) = (i, n).$$

Example:



Graphs with a prescribed fundamental group(oid)

Let F_S be the free group generated by a set S .

Given any word $r = s_1^{d_1} \cdots s_k^{d_k} \in F_S$, we can define its degree as follows:

$$\deg(r) = |d_1| + \cdots + |d_k|$$

We can then define a map ω_r corresponding to the word r as follows:

$$\omega_r: C_{5 \cdot \deg(r)} \longrightarrow \bigvee_{s \in S} C_5$$

First, wrap d_1 times around the C_5 corresponding to $s_1 \in S$ (clockwise if $d_1 > 0$ and counterclockwise if $d_1 < 0$), then d_2 times around the C_5 corresponding to $s_2 \in S$, and so on.

Graphs with a prescribed fundamental group(oid)

Let G be any group, along with a presentation $G = \langle S \mid R \rangle$.

Define $X_{\langle S \mid R \rangle}$ to be the graph given by the following pushout:

$$\begin{array}{ccc} \coprod_{r \in R} C_{5 \cdot \deg(r)} & \xrightarrow{(\omega_r)_{r \in R}} & \bigvee_{s \in S} C_5 \\ \downarrow \coprod_{r \in R} \partial_{5 \cdot \deg(r), 3} & \searrow r & \downarrow \\ \coprod_{r \in R} D_{5 \cdot \deg(r), 3} & \longrightarrow & X_{\langle S \mid R \rangle} \end{array}$$

Theorem (Kapulkin-M.)

Given any group G , with a presentation $G = \langle S \mid R \rangle$, we have:

$$A_1(X_{\langle S \mid R \rangle}, *) \cong G$$

Corollary (Approximation property)

Given any groupoid \mathcal{G} , graph Y , and functor $F: \mathcal{G} \rightarrow \Pi_1 Y$, there exists a graph X , graph map $f: X \rightarrow Y$, and a zigzag of equivalences of groupoids $\mathcal{G} \xleftarrow{\sim} \mathcal{G}' \xrightarrow{\sim} \Pi_1 X$ such that the following diagram commutes:

$$\begin{array}{ccc} \mathcal{G}' & \xrightarrow{\sim} & \Pi_1 X \\ \sim \downarrow & & \downarrow \Pi_1 f \\ \mathcal{G} & \xrightarrow{F} & \Pi_1 Y \end{array}$$

A map $f: X \rightarrow Y$ is a **weak 1-equivalence** if it induces a bijection $\pi_0 X \rightarrow \pi_0 Y$ and an isomorphism $A_1(X, x) \rightarrow A_1(Y, fx)$ for all $x \in X$.

Let $W_{\leq 1}$ be the class of weak 1-equivalences in \mathbf{Graph} .

Do we have $(\mathbf{Graph}, W_{\leq 1}) \simeq_H \mathbf{Gpd}$?

More generally, do we have $(\mathbf{Graph}, W_{\leq n}) \simeq_H n\text{-Gpd}$?

Approach: use fibration categories

Theorem (Cisinski)

An exact functor $F: \mathcal{C} \rightarrow \mathcal{D}$ between fibration categories induces an equivalence $\text{Ho } \mathcal{C} \rightarrow \text{Ho } \mathcal{D}$ if and only if it satisfies the following approximation properties:

- 1 F reflects weak equivalences
- 2 Given any morphism $f: B \rightarrow FY$ in \mathcal{D} , there exists a morphism $\hat{f}: X \rightarrow Y$ in \mathcal{C} such that there is a commutative square of the form:

$$\begin{array}{ccc} A & \xrightarrow{\sim} & FX \\ \sim \downarrow & & \downarrow \hat{f} \\ B & \xrightarrow{f} & FY \end{array}$$

where $A \xrightarrow{\sim} B$ and $A \xrightarrow{\sim} FX$ are weak equivalences in \mathcal{D} .