

# Linear Läuchli Semantics

R. F. Blute

P. J. Scott

Dept. of Mathematics  
University of Ottawa  
Ottawa, Ontario CANADA

*Dedicated to the memory of Moez Alimohamed*

## Abstract

We introduce a linear analogue of Läuchli's semantics for intuitionistic logic. In fact, our result is a strengthening of Läuchli's work to the level of proofs, rather than provability. This is obtained by considering continuous actions of the additive group of integers on a category of topological vector spaces. The semantics, based on *functorial polymorphism*, consists of dinatural transformations which are equivariant with respect to all such actions. Such dinatural transformations are called *uniform*. To any sequent in Multiplicative Linear Logic (*MLL*), we associate a vector space of “diadditive” uniform transformations. We then show that this space is generated by denotations of cut-free proofs of the sequent in the theory *MLL+MIX*. Thus we obtain a *full completeness* theorem in the sense of Abramsky and Jagadeesan, although our result differs from theirs in the use of dinatural transformations.

As corollaries, we show that these dinatural transformations compose, and obtain a conservativity result: diadditive dinatural transformations which are uniform with respect to actions of the additive group of integers are also uniform with respect to the actions of arbitrary cocommutative Hopf algebras. Finally, we discuss several possible extensions of this work to noncommutative logic.

It is well known that the intuitionistic version of Läuchli's semantics is a special case of the theory of *logical relations*, due to Plotkin and Statman. Thus, our work can also be viewed as a first step towards developing a theory of logical relations for linear logic and concurrency.

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# 1 Introduction

In the 1930's, Heyting introduced a “proof” interpretation of intuitionistic logic. This informal semantics has become increasingly influential, both in logic and more recently in computer science. Indeed, attempts to develop a rigorous mathematical framework for Heyting's ideas led the way to many fundamental discoveries, for example Kleene's Realizability, Gödel's *Dialectica* Interpretation, and (more recently) the Curry-Howard Isomorphism ([21]). However somewhat less familiar is Läuchli's seminal work in the 1960's [30]: this was the first attempt to give both an *abstract* model of “proof” for intuitionistic logic and a Completeness Theorem for provability.

Läuchli's viewpoint models a formula by a set: intuitively, by its set of (abstract) proofs. Ordinary sets, however, have insufficient structure to obtain a completeness theorem of this type. Läuchli's modelling used a more sophisticated notion of “set” and “element”:

Formula	=	set with a distinguished permutation on it
Proof	=	invariant element.

A set with a distinguished permutation may be identified with a  $\mathbf{Z}$ -set (a set with an action of the free cyclic group  $\mathbf{Z}$ ). Thus, from this viewpoint, Läuchli's abstract models are nothing more than  $\mathbf{Z}$ -set models [23]. Läuchli's Completeness Theorem says: *a formula is provable if and only if its interpretation in every abstract model contains an invariant element (i.e. an “abstract proof”)*.

Läuchli's semantics also has a categorical interpretation. The category of  $\mathbf{Z}$ -sets is a cartesian closed category (= ccc), and so interprets simply-typed  $\lambda$ -calculus as in [29], or equivalently deductions in a fragment of intuitionistic logic. A categorical presentation can be found in [23].

While Läuchli's semantics is a semantics of proofs, Läuchli's theorem is finally about *provability*, rather than genuine proofs. Thus, we might ask for a better result: can one find a notion of abstract model which characterizes proofs themselves? This is the *full completeness* problem [2]. From the Curry-Howard viewpoint, which identifies formulas with types and (natural deduction) proofs with typed  $\lambda$ -terms, we are asking for a typed lambda model  $\mathcal{E}$  with a *surjective* interpretation function  $\llbracket - \rrbracket : \mathcal{L} \rightarrow \mathcal{E}$ . Thus every function in such a model is the denotation of some proof.

From a Computer Science viewpoint, full completeness theorems are similar to *full abstraction theorems*, since lambda terms correspond to programs. Thus one is attempting to characterize operational or syntactic behaviour of program terms using a more “mathematical” model. Indeed, the fundamental full completeness results of Abramsky and Jagadeesan [2] for multiplicative linear logic (= *MLL*) using game semantics recently led to a solution of the full abstraction problem for PCF [3], a fundamental problem in denotational semantics for many years [35].

Finally, from a categorical viewpoint, full completeness theorems are asking for a *full* representation of a certain kind of free category, say  $\mathcal{C}$ , into a model category  $\mathcal{E}$ . In this sense, a full completeness theorem is a strong kind of *representation* theorem. Of course for such a result to be sensible, the model  $\mathcal{E}$  should not itself be too syntactic, but rather be a “genuine” mathematical structure not built from  $\mathcal{C}$ . For example, the Yoneda embedding [29]  $Y : \mathcal{C} \rightarrow \text{Set}^{\mathcal{C}^{op}}$  is well-known to give a fully faithful representation for ccc’s, but it fails to yield an independent model  $\mathcal{E}$  in our sense:  $\text{Set}^{\mathcal{C}^{op}}$  depends too much on  $\mathcal{C}$ .

The first full completeness result that we know of is due to Plotkin [40]. Inspired by Läuchli’s work, Plotkin attempted to characterize lambda definability of set-theoretic functions in the full type hierarchy (= a full sub-ccc of Sets) generated from an infinite atomic set. This characterization involved invariance under certain kinds of *logical relations*. For a detailed discussion, see Section 4 below. As such, we believe that the work presented in this paper may be viewed as the beginnings of a theory of logical relations for linear logic and concurrency.

In this paper, we present a semantics based upon an extension of functorial polymorphism [5, 9, 22] to the linear setting. In this setting, types are definable multivariant functors on a category of topological vector spaces. We then interpret terms, i.e. deductions in the theory  $MLL + MIX$  as certain *dinatural transformations* between such functors. The key property is that these transformations be *uniform*, in other words, equivariant with respect to certain continuous actions of the additive group of integers. In the case of sequents which are balanced but not binary, we add an additional criterion known as *diadditivity*. This says that the transformation is a linear combination of substitution instances of dinaturals interpreting binary sequents. This is in keeping with the philosophy that in a (cut-free) proof structure it is the axiom links which behave as variables, and one should be allowed to substitute distinct variables for two variables not connected by axiom links.

The use of dinaturality and functorial polymorphism is a substantial difference between our work and previous such theorems. For example, function spaces have a natural interpretation as certain multivariant functors. This work also suggests the notion of group action may be fundamental to future results of this sort.

Our full completeness theorem (Section 10) takes the following strong form: interpreting formulas as definable functors  $F, F'$ , the set of uniform diadditive dinatural transformations has a vector space structure, with basis the cut-free proofs in  $MLL + MIX$ . This yields several interesting corollaries (see Section 10):

- Such dinaturals compose. When one is constructing a semantics based on functorial polymorphism [5], one must show that the dinatural transformations representing the terms compose. This is because dinatural transformations, unlike natural transformations, do not compose in general.
- A conservativity result: if a proof (= diadditive dinatural) is uniform with respect to the additive group of integers, it is uniform with respect to arbitrary cocommutative Hopf algebras.

Finally, we point out that our treatment appears to be extendible to other theories, notably theories of non-commutative linear logics, by generalizing groups to general *Hopf algebras*. At the same time, the categories of vector spaces we deal with are complete and co-complete, suggesting the interpretation of far more than just *MLL*.

## 2 Proof Nets

In this section, we review basic properties of proof nets, and their relationship to  $*$ -autonomous categories. We assume that the reader is familiar with linear sequent calculus. If not, the reader might consult [19] or [48].

**Notation Convention** We shall write  $\vdash \Gamma$  to denote a one-sided sequent, and say that  $\vdash \Gamma$  is *derivable* (*correct* or *provable*) if there is a sequent calculus proof of it. We will use similar terminology for two-sided sequents.

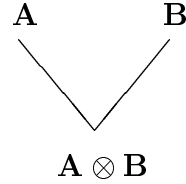
Proof nets are a graph-theoretic natural deduction proof system for the multiplicative fragment of linear logic, introduced by Girard in [19]. The remarkable property of proof nets is the interaction between a global correctness criterion and a local normalization process. It is this interaction which makes nets useful in analyzing coherence problems in  $*$ -autonomous categories [9].

The version of proof net we present is a simplification due to Danos and Regnier [14]. We first define the notion of *proof structure*. These are certain graphs whose nodes are labelled by formulas (or better, formula occurrences). Proof structures are constructed inductively from four types of links:

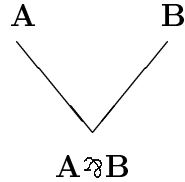
### AXIOM LINKS



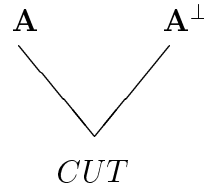
### TENSOR LINKS



### PAR LINKS



### CUT LINKS



Each link has a multiset of *hypotheses* and *conclusions*. The axiom link has no hypotheses and  $A, A^\perp$  as conclusions, while Cut has the dual situation:  $A, A^\perp$  are hypotheses and no conclusion; the tensor and par links have  $A, B$  as hypotheses, and the appropriate formula as conclusion. Tensor and Par links are *not* symmetric w.r.t. interchanging hypotheses; on the other hand, axiom and cut *are* symmetric w.r.t. interchanging conclusions (resp. hypotheses). Proof structures are subject to the obvious restrictions, i.e. an occurrence of a formula is the conclusion of exactly one link, and the premise of at most one link. We will also add the condition that one may only introduce axiom links for which the conclusions are *literals*, i.e. atoms or negations of atoms. This has no effect on expressive power and allows us to avoid the expansion rules of [12].

There is a straightforward translation from sequent deductions to proof structures. We wish to identify those structures which correspond to derivable sequents. One of the advantages of this system over other natural deduction systems is that there is an intrinsic graph-theoretic criterion on proof structures which determines if the structure corresponds to a derivable sequent deduction.

A *switching* for a proof structure is obtained by removing one of the two edges from each  $\wp$ -link. A proof structure is a *proof net* if, for all switchings, the resulting graph is acyclic and connected.

The following two theorems [19, 48] show that this is a correct notion of deduction for *MLL*.

**Theorem 2.1** (*Girard*) *There is a canonical translation procedure which takes sequent calculus deductions in MLL to proof structures. If a proof structure is in the image of this translation, it is a proof net.*

**Theorem 2.2** (*Girard*) *Given a proof net with conclusions  $\{A_1, \dots, A_n\}$ , there is a sequent calculus proof of  $\vdash A_1, \dots, A_n$  mapped to it under the translation procedure.*

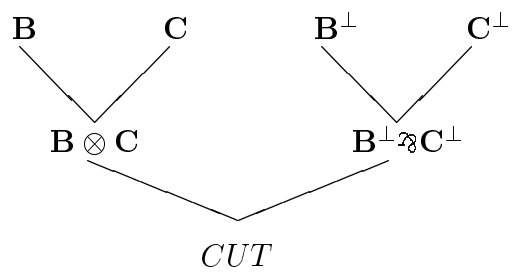
This last result is referred to as the *sequentialization theorem*.

Finally, note that proof nets take no account of the *order* of the rules in a deduction: sequent proofs which are equivalent modulo commutative reductions have the same proof net.

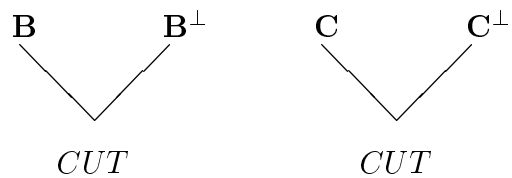
## 2.1 Cut Elimination

As previously remarked, the cut elimination procedure is especially important for analyzing the structure of  $*$ -autonomous categories. For proof nets, it is accomplished by the following procedure. The advantage of this procedure is that it is local in nature, so that each cut can be eliminated independently, as follows.

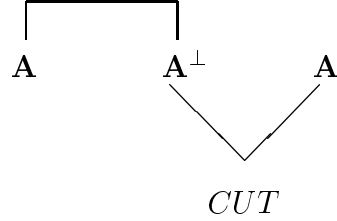
The cut:



reduces to the two cuts:



The cut:



reduces to the single formula:

**A**

The following is due to Girard [19].

**Theorem 2.3** *The theory  $MLL$  satisfies cut elimination. The cut elimination process for proof nets is confluent and strongly normalizing. Given a derivable sequent deduction in  $MLL$ , its cut-free proof net is uniquely determined.*

This last statement follows from the elementary observation that a cut-free proof structure is uniquely determined by its axiom links. The portion of the net below the axiom links corresponds to the subformula tree of the formulas in the sequent.

## 2.2 The $MIX$ Rule

An important variant of  $MLL$  is obtained by considering the  $MIX$  rule. Not only is this an interesting extension of linear logic with a natural computational interpretation [15], but the  $MIX$  rule is valid in most models. For example, the *coherence spaces* of [19] as well as the category  $\mathcal{RTVEC}$  defined below validate it. The  $MIX$  rule is stated as follows:

$$\frac{\vdash \Gamma \quad \vdash \Delta}{\vdash \Gamma, \Delta} MIX$$

This rule is not correct for  $MLL$ , so to accommodate the larger theory  $MLL + MIX$ , we simply alter the correctness criterion by requiring only that for each switch setting the graph be acyclic. Then all of the above results easily extend. This is described in [15].

In our models, we have a strong version of the  $MIX$  rule, which is obtained by equating the two multiplicative units, i.e.  $\top = \perp$ . It is straightforward to verify that  $MLL$  with the usual unit rules and this equation implies the  $MIX$  rule. For this theory, it is straightforward to incorporate units into the nets, whereas for  $MLL$ , one requires *weakening links* [12].



## 2.3 Coherence

While the observation that a cut-free net is uniquely determined by its axiom links is obvious, it has several important consequences. In particular it is used to derive the coherence theorem for  $*$ -autonomous categories. Under the Lambek equivalence between deductions in a deductive system and morphisms in a free category, a morphism in the free  $*$ -autonomous category (without units) can be interpreted as a proof net. In this interpretation, proof nets are viewed as a graph-theoretic syntax for specifying morphisms in the free  $*$ -autonomous category (without units). The fact that they form a confluent, strongly normalizing rewrite system suggests that nets can be viewed as a typed  $\lambda$ -calculus for  $*$ -autonomous categories, analogous to the work of [29]. With this interpretation in mind, it is straightforward to derive:

**Theorem 2.4** (*Blute*) *Two morphisms  $f, g: A \rightarrow B$  are equal if and only if  $f$  and  $g$  have the same interpretation as cut-free proof nets.*

This result is proved in [9], as part of a stronger theorem precisely characterizing those extensions of the theory of  $*$ -autonomous categories which satisfy such a criterion. (Among theories which satisfy this criterion is the theory of  $*$ -autonomous categories satisfying the *MIX* rule.) It allows us to interpret nets semantically. Thus, when we refer to the denotation of a proof, we mean the denotation of the corresponding net.

## 2.4 Simple Sequents

We here record some proof-theoretic results, due to Abramsky and Jagadeesan [2], which will be crucial in the sequel. We begin with some definitions.

**Definition 2.5** A sequent  $\vdash \Gamma$  is *balanced* if each atom occurs an even number of times, with proper variance. A balanced sequent is *binary* if each atom occurs exactly twice. It is *simple* if all of the formulas of  $\Gamma$  are literals or a tensor product of two literals.

A *monotone context* is a sequent with a “hole” (as in contexts for  $\lambda$ -calculus) such that the hole does not appear in the scope of a negation. Such contexts will be denoted  $\Gamma = D[\cdot]$

If a sequent is balanced, we can associate to it a cut-free proof structure. The fact that it is balanced allows us to establish axiom links, at which point the structure is uniquely determined, as previously remarked. If the sequent is binary, then there is a unique associated cut-free structure. Thus one can unambiguously ask whether a binary sequent is correct.

One of the crucial results of [2] simplifies the process of proving a full completeness theorem by allowing one to only consider the simple sequents:

**Theorem 2.6** (*Abramsky, Jagadeesan*) *Suppose  $\vdash \Gamma$  is a binary sequent. Then there exists a finite list of binary simple sequents  $\vdash \Gamma_1, \dots, \vdash \Gamma_n$  such that:*

- $\vdash \Gamma \multimap \Gamma_i$  is derivable for all  $i$ .

- $\vdash \Gamma$  is derivable if and only if, for all  $i$ ,  $\vdash \Gamma_i$  is.

To prove the result, we require two technical lemmas, both of which appear with proofs in [2].

**Lemma 2.7** *Let  $\Gamma = D[A \otimes (B \wp C)]$  be a binary sequent. Let  $\Gamma_1 = D[(A \otimes B) \wp C]$  and  $\Gamma_2 = D[(A \otimes C) \wp B]$ . Then we have:*

- *For all  $i = 1, 2$   $\vdash \Gamma \multimap \Gamma_i$  is derivable.*
- *$\vdash \Gamma$  is derivable if and only if  $\vdash \Gamma_i$  is derivable for  $i = 1, 2$ .*

**Lemma 2.8** *Let  $\Gamma = D[A \otimes (B \otimes C)]$  be a binary sequent. Let  $\Gamma_1 = D[A \otimes (B \wp C)]$  and  $\Gamma_2 = D[A \wp (B \otimes C)]$ . Then we have:*

- *For all  $i = 1, 2$   $\vdash \Gamma \multimap \Gamma_i$  is derivable.*
- *$\vdash \Gamma$  is derivable if and only if  $\vdash \Gamma_i$  is derivable for  $i = 1, 2$ .*

The set of sequents mentioned in the above theorem is then obtained by using three canonical morphisms which exist in any model of *MLL* + *MIX*. These are the *weak distributivity* [13] and the *MIX* morphism [15]:

$$\delta: A \otimes (B \wp C) \rightarrow (A \otimes B) \wp C$$

$$\delta': A \otimes (B \wp C) \rightarrow (A \otimes C) \wp B$$

$$\xi: A \otimes B \rightarrow A \wp B$$

One now proves the theorem by using the first lemma to push a par down the proof structure so that it is the outermost connective of the formula it appears in. Then replace it with a comma.

Then given a nested occurrence of tensor, use the second lemma to replace it with a par. Then use the first lemma to eliminate it. Iteration of this process eventually leads to a finite family of simple binary sequents.

It is important to note that the set of simple sequents is obtained by *left* composition with the three canonical morphisms described above.

### 3 Logical Relations and Logical Permutations

Logical relations play an important role in the recent proof theory and semantics of typed lambda calculi [36, 40, 41, 44]. We begin with logical relations on Henkin models; for further developments see [5, 36, 38, 39].

### 3.1 Definitions and Examples

Consider a simply typed lambda calculus with product types. A *Henkin model* is a well-pointed cartesian closed category (ccc). Equivalently, a Henkin model is a type-indexed family of sets  $\mathcal{A} = \{A_\sigma \mid \sigma \text{ a type}\}$  where  $A_1 = \{*\}$ ,  $A_{\sigma \times \tau} = A_\sigma \times A_\tau$ ,  $A_{\sigma \Rightarrow \tau} \subseteq A_\tau^{A_\sigma}$  which forms a ccc with respect to restriction of the usual ccc structure of *Set*. In the case of atomic base types  $b$ ,  $\mathcal{A}_b$  is some fixed but arbitrary set.

Given two Henkin models  $\mathcal{A}$  and  $\mathcal{B}$ , a *logical relation* from  $\mathcal{A}$  to  $\mathcal{B}$  is a family of binary relations  $\mathcal{R} = \{R_\sigma \subseteq A_\sigma \times B_\sigma \mid \sigma \text{ a type}\}$  satisfying:

1.  $R_1(*, *)$
2.  $(a, b)R_{\sigma \times \tau}(a', b')$  if and only if  $aR_\sigma a'$  and  $bR_\tau b'$ , for any  $a, a' \in A_\sigma, b, b' \in B_\tau$ .  
i.e. *ordered pairs are related exactly when their components are.*
3. For any  $f, g \in A_{\sigma \Rightarrow \tau}$ ,  $fR_{\sigma \Rightarrow \tau} g$  if and only if for all  $a, a' \in A_\sigma$  ( $aR_\sigma a'$  implies  $fa R_\tau ga'$ )  
i.e. *functions are related when they map related inputs to related outputs.*

For each (atomic) base type  $b$ , fix a binary relation  $R_b \subseteq A_b \times B_b$ . Then: there is a smallest family of binary relations  $\mathcal{R} = \{R_\sigma \subseteq A_\sigma \times B_\sigma \mid \sigma \text{ a type}\}$  defined inductively from the  $R_b$ 's by 1,2,3 above. That is, any property (relation) at base-types can be inductively lifted to a family  $\mathcal{R}$  at all higher types, satisfying 1, 2, 3 above. If  $a, b \in A_\sigma$ , we write  $\mathcal{R}(a, b)$  to denote  $R_\sigma(a, b)$ . If  $\mathcal{R}$  is a logical relation from  $\mathcal{A}$  to itself, we say an element  $a$  is *invariant* under  $\mathcal{R}$  if  $\mathcal{R}(a, a)$ .

The fundamental property of logical relations is the Soundness Theorem. Let  $M(\vec{x}) : \sigma$  denote a term  $M$  of type  $\sigma$  with free variables (i.e. in context)  $\vec{x} : \Gamma$ , sometimes denoted  $\vec{x} : \Gamma \triangleright M : \sigma$ . Consider Henkin models  $\mathcal{A}, \mathcal{B}$ , with assignments for variables  $\eta_{\mathcal{A}}, \eta_{\mathcal{B}}$  in the respective models. Let  $\llbracket M \rrbracket_{\eta_{\mathcal{A}}}$  denote the meaning of  $M$  in model  $\mathcal{A}$  w.r.t. the given variable assignment.

**Theorem 3.1** ([40, 44, 36]) *Let  $\mathcal{R} \subseteq \mathcal{A} \times \mathcal{B}$  be a logical relation between Henkin models  $\mathcal{A}, \mathcal{B}$ . Let  $\vec{x} : \Gamma \triangleright M : \sigma$ . Suppose the interpretations of the free variables are related, i.e. for all  $i, \mathcal{R}(\eta_{\mathcal{A}}(x_i), \eta_{\mathcal{B}}(x_i))$ . Then  $\mathcal{R}(\llbracket M \rrbracket_{\eta_{\mathcal{A}}}, \llbracket M \rrbracket_{\eta_{\mathcal{B}}})$ .*

*In particular, if  $\mathcal{A} = \mathcal{B}$  and  $M$  is a closed term (i.e. contains no free variables), its meaning  $\llbracket M \rrbracket$  in a model  $\mathcal{A}$  is invariant under all logical relations.*

This observation has been used by Plotkin, Statman, et. al [40, 44, 45] to show certain elements (of models) are *not* lambda definable: it suffices to find some logical relation on  $\mathcal{A}$  for which the element in question is not invariant.

An important special case for us is the following example:

**Example 3.2** Consider a Henkin model  $\mathcal{A}$ , with a specified permutation  $\pi_b : A_b \rightarrow A_b$  at each base type  $b$ . We extend  $\pi$  to all types as follows: (i) on product types we extend componentwise:  $\pi_{\sigma \times \tau} = \pi_\sigma \times \pi_\tau : A_{\sigma \times \tau} \rightarrow A_{\sigma \times \tau}$ ; (ii) on function spaces, extend by conjugation:

$\pi_{\sigma \Rightarrow \tau}(f) = \pi_\tau \circ f \circ \pi_\sigma^{-1}$ , where  $f \in A_{\sigma \Rightarrow \tau}$ . We build a logical relation  $\mathcal{R}$  on  $\mathcal{A}$  by letting  $R_\sigma =$  the graph of permutation  $\pi_\sigma : A_\sigma \rightarrow A_\sigma$ , i.e.  $R_\sigma(a, b) \Leftrightarrow \pi_\sigma(a) = b$ . Members of  $\mathcal{R}$  will be called *hereditary permutations*.  $\mathcal{R}$ -invariant elements  $a \in A_\sigma$  are simply fixed points of the permutation:  $\pi_\sigma(a) = a$ . Further discussion of this example is in the next section.

There is no reason to restrict ourselves to binary logical relations: one may speak of  $n$ -ary logical relations, which relate  $n$  Henkin models [44]. Indeed, since Henkin models are closed under products, it suffices to consider unary logical relations, known as *logical predicates*.

## 3.2 Soundness

The original use of unary logical relations stemmed from Tait's *computability predicates* in proof theory [21]. Unfortunately, our previous definition of logical relations, based on Henkin models, does not directly apply to the syntax, since syntactic term models are not always Henkin models (cf. [29] p. 263, Corollary 2.12). Statman [44] and Mitchell [36] extended the notion of logical relation to certain *applicative typed structures*  $\mathcal{A}$  for which (i) appropriate meaning functions on the syntax,  $\llbracket M \rrbracket_{\eta_{\mathcal{A}}}$ , are well-defined, and (ii) all logical relations  $\mathcal{R}$  are (in a suitable sense) congruence relations on the syntax. Following [36] we call them *admissible* logical relations. In this situation, the Soundness Theorem above is still valid:

**Theorem 3.3 (Soundness)** *Let  $\{\mathcal{A}_i\}_{i \leq n}$  be a family of typed applicative structures. Let  $\vec{x} : \Gamma \triangleright M : \sigma$  and suppose  $\llbracket M \rrbracket_{\eta_{\mathcal{A}_i}}$  is a well-defined meaning function which interprets term  $M$  in  $\mathcal{A}_i$  with respect to variable assignment  $\eta_{\mathcal{A}_i}$ . Suppose  $\mathcal{R} \subseteq \prod_{i \leq n} \mathcal{A}_i$  is an  $n$ -ary admissible logical relation. If the interpretations of the variables are all related, i.e. for all variables  $x_i$ ,  $\mathcal{R}(\eta_{\mathcal{A}_1}(x_i), \dots, \eta_{\mathcal{A}_j}(x_i), \dots, \eta_{\mathcal{A}_n}(x_i))$ , then  $\mathcal{R}(\llbracket M \rrbracket_{\eta_{\mathcal{A}_1}}, \dots, \llbracket M \rrbracket_{\eta_{\mathcal{A}_j}}, \dots, \llbracket M \rrbracket_{\eta_{\mathcal{A}_n}})$ .*

As pointed out by Mitchell [36] and Statman [44] this permits obtaining many interesting soundness theorems for the syntax. For example, let  $\mathcal{A}$  be a term model for the lambda theory  $\mathcal{T}$ . To apply the previous discussion, note that the usual (Tarski) interpretation of a term  $M$ ,  $\llbracket M \rrbracket_{\eta_{\mathcal{A}}}$ , is a well-defined meaning function in our previous sense. For example, letting  $\eta$  be the identity,  $\llbracket M \rrbracket_{\eta_{\mathcal{A}}}$  simply refers to the type assignment  $\vec{x} : \Gamma \triangleright M : \sigma$ , while the satisfaction relation  $\mathcal{A} \models_\eta M = N : \sigma$  means “provable equality in theory  $\mathcal{T}$ ”.

**Corollary 3.4** (“All terms are computable”.) *Let  $\mathcal{T}$  be the pure theory of simply typed  $\lambda$ -calculus. Suppose  $\mathcal{B}$  is an applicative typed structure, and  $\mathcal{R}$  is an admissible logical predicate on  $\mathcal{B}$ . Then for any term  $\vec{x} : \Gamma \triangleright M : \sigma$  and variable assignment  $\eta_{\mathcal{B}}$ ,  $\mathcal{R}(\llbracket M \rrbracket_{\eta_{\mathcal{B}}})$ .*

This corollary may be extended to applied lambda theories with additional constants, base types, type- and/or term-constructors, etc. by appropriate modifications to the notion of structure and interpretation. Indeed, as a special case of the above result, let  $\mathcal{B}$  be the term model for typed lambda calculus, and  $\mathcal{R}$  be Tait's computability predicates ([21], Chapter 6); we obtain Tait's Soundness Theorem ([21], p. 46). As another special case, let  $\mathcal{B}$  be a Henkin model and let  $\mathcal{R}$  be the hereditary permutations on  $\mathcal{B}$ , starting from some specified

permutation(s) on the base type(s). Then the above corollary says: the meaning of any term is invariant under all hereditary permutations.

The above corollary is itself a consequence of the usual universal property of free cartesian closed categories: *any interpretation of the nodes of a (discrete) graph  $\mathcal{G}$  into a ccc  $\mathcal{E}$  has a unique extension to an  $\mathcal{E}$ -valued representation of the free ccc generated  $\mathcal{G}$* . We may then pick  $\mathcal{E}$  to be an appropriate category of logical relations [38]. For example, in the next section, we discuss Läuchli's semantics, in which we pick  $\mathcal{E} = \text{Set}^G$ , the category of  $G$ -sets.

## 4 Läuchli Semantics

### 4.1 G-Sets

**Definition 4.1** Let  $G$  be a group and  $X$  a set. A  $G$ -set  $X$ , or a left action of  $G$  on  $X$ , is a group homomorphism  $G \rightarrow \text{Sym}(X)$  to the symmetric group on  $X$ . Equivalently, a  $G$ -set is a pair  $(X, \bullet)$  where  $\bullet : G \times X \rightarrow X$  is a map satisfying, for all  $g_i \in G, x \in X$ ,

$$\begin{aligned}(g_1 g_2) \bullet x &= g_1 \bullet (g_2 \bullet x) \\ 1 \bullet x &= x\end{aligned}$$

A  $G$ -set morphism from  $(X, \bullet_X)$  to  $(Y, \bullet_Y)$  is a function  $\phi : X \rightarrow Y$  preserving the actions, i.e.  $\phi(g \bullet x) = g \bullet \phi(x)$  (we omit indexing the actions). Such maps are sometimes called *equivariant* maps.

**Theorem 4.2** *The category  $\text{Set}^G$ , the category of  $G$ -sets and  $G$ -set morphisms is a cartesian closed category (= ccc) [29].*

**Proof.** The ccc structure is as follows:

*Terminal object:* any one point set, with trivial action.

*Products of  $G$ -sets :* given two  $G$ -Sets  $X, Y$  their product is the cartesian product  $X \times Y$  with pointwise action.

*Exponentials of  $G$ -sets :* given two  $G$ -Sets  $X, Y$  their exponential (function space)  $Y^X$  is given by the ordinary set-theoretic function space, with “conjugate” or “contragredient” action: for any  $g \in G, h \in Y^X$ ,  $(g \bullet h)(x) = g \bullet h(g^{-1} \bullet x)$   $\square$

In the above proof, we see two important examples of group actions: (i). the *trivial* or *discrete* action given by second projection:  $g \bullet x = x$ , for all  $g \in G, x \in X$  and (ii). the historically important case of a group  $G$  acting on itself by conjugation. We will be primarily interested in the case where  $G = \mathbf{Z}$ , the additive group of integers. In this case, we have the following equivalence of categories, which follows from the fact that  $\mathbf{Z}$  is the free cyclic group.

**Theorem 4.3** *The category of  $\mathbf{Z}$ -sets is equivalent to the category whose objects are sets equipped with a permutation and whose maps are set-theoretic maps commuting with the distinguished permutations.*

Thus maps which commute with the given permutations are frequently called *equivariant maps*, while a  $\mathbf{Z}$ -set with trivial action corresponds to a set with the identity permutation.

The notions of hereditary permutations and soundness may be usefully understood from the above viewpoint [29, 23]. Let  $\mathcal{G}$  be a set, considered as a discrete graph, and consider  $\langle \mathcal{G} \rangle$  = the free ccc generated by  $\mathcal{G}$ . Let  $G$  be any group, and consider the topos of left  $G$ -sets  $\mathbf{Sets}^G$ , a Henkin model. The universal property of  $\langle \mathcal{G} \rangle$  implies the following: for any graph morphism  $F : \mathcal{G} \rightarrow \mathbf{Set}^G$ , there is a unique extension to a ccc-functor  $\llbracket - \rrbracket_F : \langle \mathcal{G} \rangle \rightarrow \mathbf{Set}^G$ .

$$\langle \mathcal{G} \rangle \llbracket - \rrbracket_F \mathbf{Set}^G_F \mathcal{G}$$

In other words, given any interpretation  $F$  of basic atomic types (= nodes of  $\mathcal{G}$ ) as  $G$ -sets, there is a unique extension to a  $G$ -set interpretation  $\llbracket - \rrbracket_F$  of the entire typed lambda calculus generated by  $\mathcal{G}$ , modulo  $\beta, \eta$ , and product equations (this is the free ccc  $\langle \mathcal{G} \rangle$ ).

In particular, by the Curry-Howard correspondence, lambda terms (which denote proofs) are interpreted as  $G$ -set morphisms, i.e. equivariant maps. That is, let  $F$  be an initial assignment of  $G$ -sets to atomic types. Then a closed term  $M : \sigma$ , qua proof of formula  $\sigma$ , qua  $\langle \mathcal{G} \rangle$ -arrow  $M : \mathbf{1} \rightarrow \sigma$ , corresponds to a  $G$ -set map  $\llbracket M \rrbracket_F : \mathbf{1} \rightarrow \llbracket \sigma \rrbracket_F$ . Such maps are fixed points under the action. In particular, letting  $G = \mathbf{Z}$ , we obtain the notion of hereditary permutation, and the associated Soundness Theorem. In terms of provability it says: *A formula  $\sigma$  of intuitionistic propositional calculus is provable only if for every  $F$ , its  $\mathbf{Set}^{\mathbf{Z}}$ -interpretation  $\llbracket \sigma \rrbracket_F$  has an invariant element.*

## 4.2 Läuchli's Theorem

In fact, the above viewpoint extends to the language  $\{\top, \wedge, \Rightarrow, \vee\}^1$ . Consider  $\mathcal{B}(\mathcal{G})$ , the free ccc with binary coproducts generated by  $\mathcal{G}$ .  $\mathbf{Sets}^G$  has coproducts, so there is a unique extension of the interpretation of the base types to a structure-preserving functor

$$\llbracket - \rrbracket_F : \mathcal{B}(\mathcal{G}) \rightarrow \mathbf{Set}^G$$

hence the meaning map  $\llbracket - \rrbracket$  interprets  $\{\top, \wedge, \Rightarrow, \vee\}$ -proofs via hereditary permutations: a closed term  $M : \mathbf{1} \rightarrow \sigma$  corresponds to an invariant lambda term in  $\mathbf{Sets}^G$  (now for the extended lambda calculus with binary coproduct types.) This is the viewpoint of Läuchli [30]. The Läuchli Completeness Theorem is a converse to Soundness, for the case  $G = \mathbf{Z}$ :

**Theorem 4.4 (Lauchli [30])** *A formula  $\sigma$  of intuitionistic propositional calculus is provable if and only if for every interpretation  $F$  of the base types, its  $\mathbf{Set}^{\mathbf{Z}}$ -interpretation  $\llbracket \sigma \rrbracket_F$  has an invariant element.*

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<sup>1</sup>As emphasized in [30, 23], we ignore  $\perp$  in what follows, i.e. only consider non-trivial coproducts.

Indeed, Harnik and Makkai extend Läuchli's theorem to a representation theorem. Recall, a functor  $\Phi$  is *weakly full* if  $\text{Hom}(A, B)$  being empty implies  $\text{Hom}(\Phi(A), \Phi(B))$  is empty.

**Theorem 4.5 (Harnik, Makkai [23])** *Let  $\mathcal{A}$  be a countable free ccc with binary coproducts. There is a weakly full representation of  $\mathcal{A}$  into a countable power of  $\text{Set}^{\mathbb{Z}}$ . If in addition  $\mathcal{A}$  has the disjunction property, there is a weakly full representation into  $\text{Set}^{\mathbb{Z}}$ .*

Letting  $A = \top$ , i.e. the terminal object, we see the existence of such a weakly full representation of  $\mathcal{A}$  corresponds to *completeness with respect to provability*: i.e.  $\text{Hom}_{\text{Set}^{\mathbb{Z}}}(\top, \Phi(B))$  nonempty implies  $\text{Hom}_{\mathcal{A}}(\top, B)$  non-empty, so  $B$  is provable.

We are interested in *full completeness theorems*—i.e. completeness with respect to proofs (not just non-emptiness of the hom-sets). This is connected with fullness of the functor  $\llbracket - \rrbracket_F$  above. In the case of simply typed lambda calculus generated from a fixed base type (= the free ccc on one object), Plotkin proved the following related result. Consider the Henkin model  $\mathbf{T}_B = \text{the full type hierarchy over a set } B$ , i.e. the full sub-ccc of  $\text{Sets}$  generated by some set  $B$ . Thus in  $\mathbf{T}_B$  we have  $B_{\sigma \Rightarrow \tau} = B_{\sigma} \Rightarrow B_{\tau}$ , the full function space. Recall ([40]) the *rank* of a type is defined inductively:  $\text{rank}(b) = 0$ , where  $b$  is a base type,  $\text{rank}(\sigma \Rightarrow \tau) = \max \{ \text{rank}(\sigma) + 1, \text{rank}(\tau) \}$ ,  $\text{rank}(\sigma \times \tau) = \max \{ \text{rank}(\sigma), \text{rank}(\tau) \}$ . The rank of an element  $f \in B_{\sigma}$  in  $\mathbf{T}_B$  is the rank of the type  $\sigma$ .

**Theorem 4.6 (Plotkin, [40])** *In the full type hierarchy  $\mathbf{T}_B$  over an infinite set  $B$ , all elements  $f$  of rank  $\leq 2$  satisfy: if  $f$  is invariant under all logical relations, then  $f$  is lambda definable.*

This result has been extended and discussed by Statman [44], but the same question for terms of arbitrary rank is still open. However Plotkin [40] did prove the above result for lambda terms of arbitrary rank, by moving to *Kripke Logical Relations* rather than Set-based logical relations. For a categorical reformulation, in terms of toposes of the form  $\text{Sets}^P$ ,  $P$  a poset, see [37, 38].

Finally, we also mention recent work of R. Loader [32]. Loader proves the undecidability of the Plotkin-Statman problem: in any model of simply typed lambda calculus over a finite base type, is it decidable whether a function is lambda definable or not? In particular, as pointed out in the Appendix to [32], this undecidability result actually implies that Plotkin's theorem is false over finite base types: logical relations fail to characterize lambda definability (in terms of invariance) on  $\mathbf{T}_B$ , for  $B$  finite.

Interestingly, a similar problem occurs if we restrict our semantics to finite dimensions: our Completeness and Full Completeness results for MLL depend crucially on having infinite dimensional spaces.

## 5 \*-Autonomous Categories and Vector Spaces

Since the category of  $G$ -sets is cartesian closed, it provides a model of intuitionistic logic. To model linear logic, it is natural to replace sets with vector spaces. This leads to the classical



subject of group representation theory as described for example in [18]. However, we must build a  $*$ -autonomous category of vector spaces, in order to be able to model the involutive negation of classical linear logic.

Recall that a symmetric monoidal closed category is  $*$ -autonomous if, for all objects  $V$ , the canonical morphism  $\mu: V \rightarrow (V \multimap \perp) \multimap \perp$  is an isomorphism. Here  $\perp$  is a fixed object, called the *dualizing object*. In our example, the dualizing object will be the base field. In an arbitrary symmetric monoidal closed category, objects for which  $\mu$  is an isomorphism are called *reflexive*, or more precisely reflexive with respect to  $\perp$ .

## 5.1 Linear Topology

The approach we use goes back to the work of Lefschetz [31], and has been studied by Barr [6]. The idea is to add to the linear structure an additional topological structure, and then define the dual space to be the linear *continuous* maps. This serves to decrease the size of the dual space and thus create a large class of reflexive objects, i.e. objects which are canonically isomorphic to their second dual. The categorical structure so arising was studied by Barr in [6], where he shows that the resulting category is  $*$ -autonomous. In [10], the first author examines this category as a model of linear logic, and considers the representations of groups and Hopf algebras in such spaces. This theory leads to a large class of new models of commutative linear logic [19], noncommutative linear logic [4, 28], and braided linear logic [11]. Proofs of all of the following results can be found in [6] and [10].

**Definition 5.1** Let  $V$  be a vector space over a discrete field  $\mathbf{k}$ . A topology,  $\tau$ , on  $V$  is *linear* if it satisfies the following three properties:

- *Addition and scalar multiplication are continuous, when the field  $\mathbf{k}$  is given the discrete topology.*
- *$\tau$  is hausdorff.*
- *$0 \in V$  has a neighborhood basis of open linear subspaces.*

The first requirement means that we have a *topological vector space* in the sense of [24] (except that most texts take the field to be the real or complex numbers with its usual topology). The third requirement is quite stringent. For example, it implies that the only linear topology on a finite dimensional vector space is the discrete topology.

Let  $\mathcal{TV}\mathcal{EC}$  denote the category whose objects are vector spaces equipped with linear topologies, and whose maps are linear continuous morphisms.

The vector space  $V \multimap_{LT} W$  of linear continuous maps is endowed with the topology of pointwise convergence, i.e. as a subspace of the cartesian product  $W^V$ . Given this, the tensor product can be endowed with a linear topology to obtain an autonomous category. The next theorem follows from an application of the special adjoint functor theorem. Here the base field acts as cogenerator.

**Theorem 5.2** (Barr) *Given  $V$  in  $\mathcal{TV}\mathcal{EC}$ , the functor  $V \multimap_{LT} -$  has a left adjoint, denoted  $- \otimes_{LT} V$ .*

**Corollary 5.3** (Barr)  *$\mathcal{TV}\mathcal{EC}$  is an autonomous (symmetric monoidal closed) category.*

It is important to note that while the monoidal structure exists for abstract reasons, it is possible to prove that the underlying vector space of  $V \otimes_{LT} W$  is the usual algebraic tensor product. This issue is discussed in Barr's note [8], which is an appendix to [10].

We now define duality for this category. Given an object  $V$  in  $\mathcal{TV}\mathcal{EC}$  we define  $V^\perp$  to be  $V \multimap_{LT} k$  where the base field  $k$  is topologized discretely. Lefschetz proves:

**Theorem 5.4** (Lefschetz) *The map  $\mu: V \rightarrow V^{\perp\perp}$  is a bijection, for all  $V$ .*

Thus linear topology has served to decrease the size of the second dual space to the correct extent. While this map is a bijection, it need not be an isomorphism as the inverse map may not be continuous. Barr gives a characterization of the reflexive objects:

**Theorem 5.5** (Barr) *A space is reflexive if and only if every discrete linear subspace is finite dimensional.*

**Definition 5.6** *Let  $\mathcal{RTV}\mathcal{EC}$  denote the full subcategory of reflexive objects.*

The fundamental result is:

**Theorem 5.7** (Barr)  *$\mathcal{RTV}\mathcal{EC}$  is a  $*$ -autonomous category.*

The proof of this theorem follows from two lemmas.

**Lemma 5.8** *For any  $V$  in  $\mathcal{TV}\mathcal{EC}$ , the space  $V^\perp$  is reflexive.*

**Lemma 5.9** *The inclusion  $\mathcal{RTV}\mathcal{EC} \hookrightarrow \mathcal{TV}\mathcal{EC}$  has a left adjoint  $(-)^{\perp\perp}: \mathcal{TV}\mathcal{EC} \rightarrow \mathcal{RTV}\mathcal{EC}$ .*

Intuitively, the adjoint  $(-)^{\perp\perp}$  is adjusting the topology to make the inverse of  $\mu$  continuous. Note that the tensor product in  $\mathcal{RTV}\mathcal{EC}$  of two objects,  $V$  and  $W$ , is given by  $(V \otimes W)^{\perp\perp}$ .

Thus  $\mathcal{RTV}\mathcal{EC}$  provides a model of multiplicative linear logic. While the category of finite-dimensional spaces is also  $*$ -autonomous,  $\mathcal{RTV}\mathcal{EC}$  provides a richer model since it does not equate the two multiplicative connectives,  $\otimes$  and  $\wp$ . It is well known that if  $V$  and  $W$  are finite dimensional spaces, we have an isomorphism:

$$(V \otimes W)^\perp \cong V^\perp \otimes W^\perp$$

Thus we cannot hope for any kind of completeness theorem.  $\mathcal{RTV}\mathcal{EC}$  does not satisfy such an identity. This point is discussed in [10].

## 5.2 Quotients and Direct Sums

We now discuss quotients and direct sums of topological vector spaces. More complete discussions can be found in [24] and [42]. Given a topological vector space  $V$  and an arbitrary linear subspace  $U$ , it is readily seen that the quotient topology on the quotient space  $V/U$  gives a topological vector space. It is not generally the case however that when an object of  $\mathcal{TV}\mathcal{EC}$  is quotiented by an arbitrary subspace that we get an object of  $\mathcal{TV}\mathcal{EC}$ . This is seen by the following lemma, which is proved in the above two references.

**Lemma 5.10** *The quotient space  $V/U$  is hausdorff if and only if  $U$  is closed.*

We also observe that if  $U$  is an open linear subspace, then  $V/U$  will be discrete. This leads to the following standard result.

**Lemma 5.11** *An open linear subspace is also closed.*

We now wish to consider direct sums. In particular, for (non-topological) vector spaces, we have the following canonical isomorphism:

$$V \cong V/U \oplus U$$

To what extent, does this hold topologically, or more precisely, does  $V$  have the product topology in the above expression? We have the following definition:

**Definition 5.12** Let  $V$  be a topological vector space, which algebraically is the direct sum  $V \cong M \oplus N$ . We say that  $V$  is the *topological direct sum* of  $M$  and  $N$  if the linear map

$$q: M \times N \rightarrow V$$

is an isomorphism of topological vector spaces. Here,  $M \times N$  has the product topology.

Note that if we quotient an object of  $\mathcal{TV}\mathcal{EC}$  by a nonclosed subspace, then clearly the above isomorphism is not a topological direct sum.

We have the following result, proved in [24] and [42]:

**Lemma 5.13** *If  $V$  is the algebraic direct sum of  $M$  and  $N$ , then  $V$  is their topological direct sum if and only if the canonical projections onto  $M$  and  $N$  are continuous. (Here we mean projections in the sense of linear algebra, a morphism from  $V$  to  $V$  projecting onto the  $M$  (or  $N$ ) component).*

Finally, we will need the following lemma:

**Lemma 5.14** *Let  $V$  be an object of  $\mathcal{TV}\mathcal{EC}$ , and  $U$  an open linear subspace. Then  $V$  is the topological direct sum:*

$$V \cong V/U \oplus U$$

**Proof.** The morphism  $p_1 : V \rightarrow V/U$  is continuous, since this is the quotient map. Now for any vector space, this map splits, and the splitting is continuous, as  $V/U$  is discrete. This induces a continuous endomorphism of  $V$ , call it  $q$ , which is the projection onto the  $V/U$  component. Then the other projection onto  $U$  is also continuous as it can be defined as  $1_V - q$ .  $\square$

This particular lemma will be quite important in what follows. When defining actions on  $\mathcal{RTVE}$ , one must always make sure they are continuous. Given any point in  $V$ , one can find an open linear subspace not containing the point. This follows from the definition of linear topology. The quotient will be discrete, so continuity is automatic on this factor of the direct sum. Since the above composition is a topological direct sum, it is possible to define an action on  $V$  componentwise.

## 6 Representations of Groups

We will first consider representations of groups in discrete spaces.

**Definition 6.1** Let  $G$  be a group and  $V$  a vector space. A *representation of  $G$  on  $V$*  is given by a group homomorphism  $\varrho : G \rightarrow \text{Aut}(V)$ , the group of linear automorphisms of  $V$ . Equivalently, a  $G$ -*module* is a vector space  $V$  equipped with a linear automorphism  $v \mapsto g \cdot v$  for each  $g \in G$ . These automorphisms must satisfy the obvious analogue of the equations of a  $G$ -set. Let  $\text{MOD}(G)$  denote the category of  $G$ -modules with linear maps commuting with the  $G$ -action as morphisms.

### 6.1 $\mathbf{Z}$ -Actions

The group we are primarily interested in is the additive group of integers  $\mathbf{Z}$ , which can act on a complex vector space  $V$  in many ways; we shall give some useful examples.

**Examples 6.2** The following are actions of  $\mathbf{Z}$  on  $V$ :

1. For each nonzero complex number  $p$  define the action  $n \cdot v = p^n v$ , for all  $v \in V$ . These are sometimes called “ $p$ -actions”.
2. Suppose  $V = \mathcal{C}[\mathbf{Z}]$ , the complex vector space generated by the elements of  $\mathbf{Z}$ . Thus  $V$  has as basis  $\{e_i\}_{i \in \mathbf{Z}}$ . For each basis vector  $e_i$ , define the action  $n \cdot e_i = e_{i+n}$ .
3. More generally, for any space  $V$  with basis  $\{e_i\}_{i \in \mathbf{I}}$ , choose any permutation  $\sigma$  of the set  $\mathbf{I}$ , and define an action by  $n \cdot e_i = e_{\sigma^n(i)}$ .

Of course, these actions can be combined in various ways using direct sums and tensor products.

### 6.2 Symmetric Monoidal Closed Structure

The category  $\text{MOD}(G)$  has the appropriate structure to model intuitionistic multiplicative linear logic.

**Theorem 6.3** For any group  $G$ , the category  $\text{MOD}(G)$  is symmetric monoidal closed.

**Proof.** If  $V$  and  $W$  are  $G$ -modules, we define a  $G$ -action on  $V \otimes W$  by

$$(1) \quad g \bullet (v \otimes w) = g \bullet v \otimes g \bullet w$$

The exponential,  $V \multimap W$  in this category is the space of all  $\mathbf{k}$ -linear maps from  $V$  to  $W$  with action defined by:

$$(2) \quad (g \bullet f)(v) = g \bullet f(g^{-1} \bullet v)$$

□

Equation 2 is generally referred to as the *contragredient representation* [18]. Note the obvious similarity to the structure of the category of  $G$ -sets (cf. Theorem 4.2).

To model the involutive negation of classical linear logic, we must consider representations of groups in  $\mathcal{RTV}\mathcal{EC}$ .

**Definition 6.4** Let  $G$  be a group. A *continuous  $G$ -module* is a linear action of  $G$  on a space  $V$  in  $\mathcal{TV}\mathcal{EC}$ , such that for all  $g \in G$ , the induced map  $g \bullet ( ) : V \rightarrow V$  is continuous. Let  $\mathcal{TMOD}(G)$  denote the category of continuous  $G$ -modules and continuous equivariant maps. Let  $\mathcal{RTMOD}(G)$  denote the full subcategory of reflexive objects.

We have the following result, which in fact holds in the more general context of Hopf algebras [10].

**Theorem 6.5** *The category  $\mathcal{TMOD}(G)$  is symmetric monoidal closed. The category  $\mathcal{RTMOD}(G)$  is  $*$ -autonomous, and a reflective subcategory of  $\mathcal{TMOD}(G)$  via the functor  $( )^{\perp\perp}$ . Furthermore the forgetful functor to  $\mathcal{RTV}\mathcal{EC}$  preserves the  $*$ -autonomous structure.*

## 7 Functorial Polymorphism

We shall give a further development of the theory of *Functorial Polymorphism* applied to linear logic, following [5, 9, 22]. For other developments of the general theory, cf [16, 17]. Recall that in functorial polymorphism, the types of a  $\lambda$ -calculus are interpreted directly as certain multivariant functors, while terms are an appropriate multivariant version of natural transformation known as a dinatural transformation:

**Definition 7.1** Let  $\mathcal{C}$  be a category, and  $F, G : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathcal{C}$  functors. A *dinatural transformation* is a family of  $\mathcal{C}$ -morphisms  $\theta = \{\theta_A : FAA \rightarrow GAA \mid A \in \mathcal{C}\}$  satisfying (for any  $f : A \rightarrow B$ ):

$$FAA^{\theta_A}GAA^{FfAGAf}FBAGAB_{FBfGfB}FBB^{\theta_B}GBB$$

More generally, we can consider *multivariate* functors  $F, G : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$ , where  $n \geq 1$ , and dinatural transformations between them. In this case, then  $A$  and  $B$  above denote *vectors* of objects and  $f$  denotes a vector of morphisms.

Denote dinatural transformations by  $\theta : F \rightrightarrows G$ .

A fundamental difficulty is that dinatural transformations do not generally compose [5]; however, in certain known cases they do. When composition is well-defined, the dinatural calculus permits interesting “parametric” interpretations of the relevant lambda calculus (cf. [41]).

For example:

1. In [5] it was shown that certain *uniform* dinaturals between “logically definable” functors over **Per** (the category of partial equivalence relations on the natural numbers) do compose. In this case, one obtains a parametric model of Girard’s second order lambda calculus, system  $\mathcal{F}$ .
2. Also, in the case of logical syntax, for certain freely generated categories and “logically definable” functors, there is a notion of *uniform* dinatural transformation, for which again composition is well-defined. More specifically, one shows that the interpretations of cut-free proofs yield dinatural transformations. This is done by induction on the complexity of the derivation. Compositionality then follows by cut-elimination. This approach was applied to simply typed lambda calculus in [22] and to linear logic in [9].

In this paper we shall develop another notion of uniformity, in this case for certain dinatural transformations on a category of vector spaces. Again we shall show compositionality of uniform dinaturals between appropriate definable functors as a consequence of a more general “Full Completeness Theorem” below.

## 7.1 Interpreting $\otimes, \multimap$

We shall first work in the theory of symmetric monoidal closed (= smc) categories without units, equivalently in intuitionistic MLL without units [20, 9]. Thus formulas are built from atoms, using the connectives  $\otimes, \multimap$ . Following the lead of functorial polymorphism (loc cit), we interpret formulas as multivariate functors over an smc category  $\mathcal{C}$ , using the following functorial operations on  $n$ -ary multivariate functors  $F, G : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$ :

$$(3) \quad (F \otimes G)(\mathbf{AB}) \equiv F(\mathbf{AB}) \otimes G(\mathbf{AB})$$

$$(4) \quad (F \multimap G)(\mathbf{AB}) \equiv F(\mathbf{BA}) \multimap G(\mathbf{AB})$$

Here  $\mathbf{AB} \in (\mathcal{C}^{op})^n \times \mathcal{C}^n$  denotes an object consisting of a vector of  $n$  contravariant variables  $\mathbf{A}$  and  $n$  covariant variables  $\mathbf{B}$ . Note the “twisted” order of arguments in exponentiation. The operations  $F \otimes G$  and  $F \multimap G$  again yield  $n$ -ary multivariate functors.

Formulas are interpreted functorially: associated to each formula  $\phi(\alpha_1, \dots, \alpha_n)$ , with type variables (or atoms)  $\alpha_1, \dots, \alpha_n$ , its *interpretation*  $\llbracket \phi(\alpha_1, \dots, \alpha_n) \rrbracket : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$  is given as follows:

1. If  $\phi(\alpha_1, \dots, \alpha_n) \equiv \alpha_i$ , then  $\llbracket \phi \rrbracket(\mathbf{AB}) = B_i$ , the (covariant) projection functor onto the  $i$ -th component of  $\mathbf{B}$ . We denote this  $i$ -th covariant projection functor by  $\Pi_i$
2. If  $\phi = c$ , a constant interpreted as an object of  $\mathcal{C}$ , then  $\llbracket \phi \rrbracket = K_c$ , the constant multivariant functor with value  $c$ .
3. If  $\phi = \phi_1 \otimes \phi_2$ , then  $\llbracket \phi \rrbracket = \llbracket \phi_1 \rrbracket \otimes \llbracket \phi_2 \rrbracket$ .
4. If  $\phi = \phi_1 \multimap \phi_2$ , then  $\llbracket \phi \rrbracket = \llbracket \phi_1 \rrbracket \multimap \llbracket \phi_2 \rrbracket$ .

Thus formulas are thought of as *schemas* with  $n$  slots (atoms) into which other formulas can be plugged.

Similarly, a sequent between formulas with  $n$  atoms

$$\sigma_1, \dots, \sigma_k \vdash \tau$$

is interpreted as a dinatural transformation between  $n$ -ary multivariant functors. Indeed, letting the atoms be  $\alpha_1, \dots, \alpha_n$  and  $\llbracket \sigma_i(\alpha_1, \dots, \alpha_n) \rrbracket = F_i : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$  and  $\llbracket \tau(\alpha_1, \dots, \alpha_n) \rrbracket = G : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$  then the sequent  $\sigma_1, \dots, \sigma_k \vdash \tau$  interprets as a dinatural transformation  $\theta : F_1 \otimes \dots \otimes F_k \dashrightarrow G$ .

**Example 7.2** The above inductive definitions yield the following:

1. The axiom sequent  $\alpha \vdash \alpha$  is interpreted as a dinatural transformation  $\sigma : \Pi_1 \dashrightarrow \Pi_1 : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathcal{C}$ , where  $\Pi_1 AB = B$  is the (covariant) projection. Thus (letting  $\sigma_A =_{\text{def}} \sigma_{AA}$ ) we have a family of maps

$$\sigma_A : \Pi_1 AA \rightarrow \Pi_1 AA \equiv \sigma_A : A \rightarrow A.$$

One such dinatural family is given by choosing each  $\sigma_A$  to be the identity on  $A$ . We call this the *identity* dinatural. Since the sequent  $\alpha \vdash \alpha$  is actually provable, this identity dinatural is the “standard” interpretation, cf.[22].

More generally, note that any dinatural transformation  $\sigma : \Pi_1 \dashrightarrow \Pi_1 : \mathcal{C}^{op} \times \mathcal{C} \rightarrow \mathcal{C}$  must satisfy the following square (= degenerate hexagon); for any  $f : A \rightarrow B$

$$A^{\sigma_A} A_{id}^f AB_{fid} B^{\sigma_B} B$$

That is,  $\sigma_B \circ f = f \circ \sigma_A$ .

2. Given the sequent  $\alpha \multimap \beta \vdash \alpha \multimap \beta$ , its dinatural interpretation is in general of the form  $F \dashrightarrow F : (\mathcal{C}^{op})^2 \times \mathcal{C}^2 \rightarrow \mathcal{C}$ , where  $F = \llbracket \alpha \multimap \beta \rrbracket = \Pi_1 \multimap \Pi_2$ , with  $\Pi_i$  = the projection onto the  $i$ th covariant variable ( $i = 1, 2$ ). Thus (using semicolon to separate contravariant and covariant variables),

$$F(AA'; BB') = \Pi_1(BB'; AA') \multimap \Pi_2(AA'; BB') = A \multimap B'.$$

Any dinatural  $\theta = \{\theta_{AA'} : F(AA'; AA') \rightarrow F(AA'; AA') \mid (A, A') \in \mathcal{B}^2\}$  interpreting this sequent must satisfy the following hexagon, for  $f : B \rightarrow A$  and  $g : B' \rightarrow A'$ :

$$A \multimap A'^{\theta_{AA'}} A \multimap A'^{A \multimap g f \multimap A'} A \multimap B' B \multimap A'_{f \multimap B' B \multimap g} B \multimap B'^{\theta_{BB'}} B \multimap B'$$

For example, in the above case we could pick the identity dinatural, i.e. each component  $\theta_{AA'}$  would be the identity.

3. The derivable sequent  $\alpha, \alpha \multimap \beta \vdash \beta$  interprets as a dinatural

$$ev : F \multimap G : (\mathcal{C}^{op})^2 \times \mathcal{C}^2 \rightarrow \mathcal{C}$$

where  $F = \llbracket (\alpha \multimap \beta) \otimes \alpha \rrbracket = \Pi_1 \otimes (\Pi_1 \multimap \Pi_2)$ , and  $G = \llbracket \beta \rrbracket = \Pi_2$ . Thus (using semicolon to separate contravariant and covariant variables),

$$\begin{aligned} F(BB'; AA') &= \Pi_1(BB'; AA') \otimes (\Pi_1(AA'; BB') \multimap \Pi_2(BB'; AA')) \\ &= A \otimes (B \multimap A') \\ G(AA'; BB') &= \Pi_2(AA'; BB') = B'. \end{aligned}$$

The dinatural

$$ev = \{ev_{AA'} : F(AA'; AA') \rightarrow G(AA'; AA') \mid (A, A') \in \mathcal{C}^2\}$$

must satisfy the following hexagon, for  $f : A \rightarrow B$  and  $f' : A' \rightarrow B'$ :

$$A \otimes (A \multimap A')^{ev_{AA'}} A'^{A \times (f \multimap A') f'} A \otimes (B \multimap A') B'_{f \otimes (B \multimap f')} B \otimes (B \multimap B')^{ev_{BB'}} B'$$

We refer to  $ev$  as the *evaluation* dinatural. Of course, in the canonical syntactic interpretation [22], as well as in many concrete monoidal closed categories,  $ev$  refers to the evaluation map:  $ev_{AA'}(a \otimes u) = u(a)$ . In such concrete categories, the hexagon above translates into the following equation: for any  $a \otimes g \in A \otimes (B \multimap A')$

$$(5) \quad f'(ev_{AA'}(a \otimes g \circ f)) = ev_{BB'}(f(a) \otimes f' \circ g)$$

A generalization of this example to nested evaluations is in Lemma 10.2 below.

## 7.2 Interpreting *MLL* Sequents

Functorial polymorphism can be extended to handle Barr's *\*-autonomous* categories [7], i.e. smc categories  $\mathcal{C}$  equipped with an involution functor  $(\ )^\perp : \mathcal{C}^{op} \rightarrow \mathcal{C}$  given by a dualising object. Such categories interpret the multiplicative fragment of classical linear logic [43, 9].

We modify the functorial interpretation of formulas mentioned earlier to the  $\otimes, (\ )^\perp$  fragment of classical linear logic by modifying the interpretation of atomic clauses. Thus associated to each formula  $\phi(\alpha_1, \dots, \alpha_n)$  in  $\otimes, \multimap, (\ )^\perp$ , with type variables (or atoms)  $\alpha_1, \dots, \alpha_n$ , we inductively define its *interpretation*  $\llbracket \phi(\alpha_1, \dots, \alpha_n) \rrbracket : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$  by changing the previous interpretation to:



- If  $\phi(\alpha_1, \dots, \alpha_n) \equiv \alpha_i$ , then  $\llbracket \phi \rrbracket(\mathbf{AB}) = B_i$ , the (covariant) projection onto the  $i$ th component of  $\mathbf{B}$ .
- If  $\phi(\alpha_1, \dots, \alpha_n) \equiv \alpha_i^\perp$ , then  $\llbracket \phi \rrbracket(\mathbf{AB}) = A_i^\perp$ , the linear negation of the (contravariant) projection onto the  $i$ th component of  $\mathbf{A}$ , denoted  $\Pi_i^\perp$ .
- $\otimes$  is interpreted as before.

Remember that in *MLL*,  $A \multimap B$  is defined as  $A^\perp \wp B$

**Example 7.3** The above inductive definition yields the following:

1. The underivable sequent  $\alpha \multimap \beta \vdash \alpha^\perp \otimes \beta$  interprets as a dinatural

$$\theta : F \multimap G : (\mathcal{C}^{op})^2 \times \mathcal{C}^2 \rightarrow \mathcal{C}$$

where  $F = \llbracket \alpha \multimap \beta \rrbracket = \Pi_1 \multimap \Pi_2$ , and  $G = \llbracket \alpha^\perp \otimes \beta \rrbracket = \Pi_1^\perp \otimes \Pi_2$ . Here  $\Pi_i$  denotes the projection onto the  $i$ th covariant variable and  $\Pi_i^\perp$  denotes the linear negation of the projection onto the  $i$ th contravariant variable ( $i = 1, 2$ ). Thus (using semicolon to separate contravariant and covariant variables),

$$\begin{aligned} F(BB'; AA') &= \Pi_1(AA'; BB') \multimap \Pi_2(BB'; AA') = B \multimap A' \\ G(AA'; BB') &= \Pi_1^\perp(AA'; BB') \otimes \Pi_2(AA'; BB') = A^\perp \otimes B'. \end{aligned}$$

Any dinatural  $\theta = \{\theta_{BB'} : F(BB'; BB') \rightarrow G(BB'; BB') \mid (B, B') \in \mathcal{A}^2\}$  must satisfy the following hexagon, for  $f : A \rightarrow B$  and  $g : A' \rightarrow B'$ :

$$B \multimap B'^{\theta_{BB'}} B^\perp \otimes B'^{B \multimap g f^\perp \otimes B'} B \multimap A' A^\perp \otimes B'_{f \multimap A' A \otimes g} A \multimap A'^{\theta_{AA'}} A^\perp \otimes A'$$

**Remark 7.4** Although dinatural transformations do not compose in general, we note that the composition of a dinatural with a *natural* transformation does yield a well-defined dinatural transformation (cf. Remark 9.6 below). We shall use this observation later.

**Remark 7.5** We end with some notation. Let  $\theta : F \multimap G : (\mathcal{C}^{op})^n \times \mathcal{C}^n \rightarrow \mathcal{C}$  be a dinatural, with components schematically denoted  $\theta_{\vec{\alpha}} : F(\vec{\alpha}; \vec{\alpha}) \rightarrow G(\vec{\alpha}; \vec{\alpha})$ , with a semicolon separating the contravariant from the co-variant occurrences of variables. Here  $\vec{\alpha} = (\alpha_1, \dots, \alpha_n)$  are formal variables referring to the  $n$  contravariant and  $n$  covariant slots in the functors  $F$  and  $G$ . A genuine component of  $\theta$ , say  $\theta_{\mathbf{A}} : F(\mathbf{A}; \mathbf{A}) \rightarrow G(\mathbf{A}; \mathbf{A})$ , where  $\mathbf{A} = (A_1, \dots, A_n) \in \mathcal{C}^n$ , is also called an *instantiation of  $\theta$  at  $\mathbf{A}$* . Such an instantiation arises as a formal simultaneous substitution of  $A_i$  for  $\alpha_i$  into the schema  $\theta_{\vec{\alpha}}$ . We sometimes denote functors and dinaturals schematically, using variables, as in the above interpretation of *MLL* sequents. We usually omit the semicolon separating contravariant and covariant slots.

## 8 Dinaturals on Vector Spaces

### 8.1 Uniform Dinaturals

The theory of uniform dinaturals is motivated by the following elementary observation. Let  $\mathcal{MOD}(G)$  be the associated category of modules of a group  $G$ .  $\mathcal{MOD}(G)$  is autonomous, and moreover the forgetful functor

$$| | : \mathcal{MOD}(G) \rightarrow \mathcal{Vec}$$

is an autonomous functor. An analogous result holds when vector spaces are replaced with spaces equipped with a linear topology, when we instead use the forgetful functor:

$$| | : \mathcal{RTMOD}(G) \rightarrow \mathcal{RTVEC}$$

**Definition 8.1** Let  $F$  and  $F'$  be definable functors on  $\mathcal{RTVEC}$ . A dinatural transformation  $\theta: F \rightarrow F'$  is *uniform* for a group  $G$  if for every  $V_1, \dots, V_n \in \mathcal{RTMOD}(G)$ , the morphism  $\theta_{|V_1|, \dots, |V_n|}$  is a  $G$ -map, i.e. is equivariant with respect to the actions induced (by equations 1,2) from the atoms  $V_i$ .

In the above definition, the instantiation of the dinatural,  $\theta_{|V_1|, \dots, |V_n|}$ , is certainly a continuous map of topological vector spaces, but there is no *a priori* reason why it should also be equivariant on the action induced by the actions on the atoms. This is what uniformity requires.

**Remark 8.2** We here make some elementary observations that we will need in the sequel.

- Any action on a function space  $V \multimap V$  which is induced by an action of  $V$  will preserve the identity element.
- By the definition of equivariance, if an element of the domain is fixed under the action induced by the atoms, then it must be mapped to a fixed point.

### 8.2 Linear Structure of Uniform Dinaturals

We use the following notation: suppose given definable functors  $F, F' : (\mathcal{Vec}^{op})^n \times \mathcal{Vec}^n \rightarrow \mathcal{Vec}$ ,

- (i)  $\text{Dinat}(F, F')$  = the set of dinatural transformations from  $F$  to  $F'$ .
- (ii)  $G\text{-Dinat}(F, F')$  = the set of dinatural transformations from  $F$  to  $F'$  which are uniform for the group  $G$

**Proposition 8.3**  $\text{Dinat}(F, F')$  is a vector space under pointwise operations. Moreover, for each group  $G$ ,  $G\text{-Dinat}(F, F')$  is a subspace of  $\text{Dinat}(F, F')$ .

We refer to the elements of  $G - \text{Dinat}(F, F')$  as  $G$ -uniform dinatural transformations. By analogy to Läuchli semantics, the special case we are interested in is when  $G = \mathbf{Z}$ , the additive group of integers. We call  $\mathbf{Z} - \text{Dinat}(F, F')$  the *space of proofs* associated to the sequent  $M \vdash M'$ , where  $\llbracket M \rrbracket = F$  and  $\llbracket M' \rrbracket = F'$ . This terminology will be motivated by the full completeness theorem below. In the sequel, we will frequently allow formulas to denote their own interpretation. For example, above we might write  $\mathbf{Z} - \text{Dinat}(M, M')$ .

## 9 Completeness

We begin by establishing a traditional completeness theorem, which is a direct analogue of the original Läuchli result. Recall that to a binary balanced sequent, we can assign a unique cut-free proof structure. The completeness theorem says that if that proof structure is not a net, then there are no nonzero “abstract proofs”.

**Theorem 9.1 (Completeness)** *Let  $M \vdash N$  be a balanced binary sequent. If the unique cut-free proof structure associated to  $M \vdash N$  is not a proof net for the theory  $MLL + MIX$ , then  $\mathbf{Z} - \text{Dinat}(M, N)$  is a zero dimensional vector space.*

The following lemma will be crucial in establishing completeness.

**Lemma 9.2** *Let  $V$  be an infinite dimensional object in  $\mathcal{RTVEC}$ , and let  $v \neq 0$  be an element of  $V \otimes V^\perp$ . Then there exists a  $\mathbf{Z}$ -action on  $V$  such that  $v$  is not fixed by the induced action on  $V \otimes V^\perp$ .*

**Proof.** Begin by choosing a basis for  $V$ , say  $\{e_i\}_{i \in I}$ , and a dual basis for  $V^\perp$ , say  $\{f^j\}_{j \in J}$ . Now let  $v = \sum r_{ij} e_i \otimes f^j$ . Note that this is a finite sum, since the underlying vector space is the usual algebraic tensor product. Suppose without loss of generality that  $e_1, e_2, \dots, e_n$  are the  $n$  basis vectors appearing in  $v$ . Finally, let  $e_{n+1}$  be a basis vector not appearing in the list, and set  $L$  equal to the list  $e_1, \dots, e_{n+1}$ .

Now we must construct  $\mathcal{U}$ , an open linear subspace of  $V$ , such that the images of the elements of  $L$  remain linearly independent in  $V/\mathcal{U}$ . For each  $e_i$  in  $L$ , one can find a  $\mathcal{U}_i$ , an open linear subspace not containing  $e_i$ . Begin by setting  $\mathcal{U} = \mathcal{U}_1 \cap \mathcal{U}_2 \cap \dots \cap \mathcal{U}_{n+1}$ . This will not be the final  $\mathcal{U}$ . Now choose any pair of elements of  $L$ , say  $e_i$  and  $e_j$ . The subspace  $\mathcal{U}$  may contain a nonzero element of the form  $u = r_i e_i + r_j e_j$ . If so, then any other such element must be a scalar multiple of this one: otherwise,  $e_i$  or  $e_j$  would be in  $\mathcal{U}$ . Now find an open linear subspace not containing  $u$  and “update”  $\mathcal{U}$  by intersecting it with this space. Now repeat this procedure for all pairs of basis elements. This establishes pairwise linear independence. Now proceed as above for all 3-tuples, and so on. The process terminates after finitely many intersections, so that  $\mathcal{U}$  is open.

By construction it is clear that the images of elements of  $L$  are linearly independent in  $V/\mathcal{U}$ . Furthermore since  $\mathcal{U}$  is open, then  $V/\mathcal{U}$  will be discrete, and it is possible to rewrite  $V$  as the topological direct sum  $V \cong V/\mathcal{U} \oplus \mathcal{U}$  by the previous discussion.

We proceed by choosing a basis for  $V/\mathcal{U}$  such that the elements of  $L$  are in the basis. We define an action on  $V/\mathcal{U}$  which cyclically permutes the elements of  $L$  but leaves the other basis elements fixed. Since  $V/\mathcal{U}$  is discrete, this is continuous. We extend to an action on  $V$  by placing a trivial action on  $\mathcal{U}$ . Clearly  $v$  is not fixed by this action.  $\square$

Notice first that infinitely many dimensions are necessary to carry this argument out. For finite  $V$ , there are elements which are fixed by arbitrary actions. These are the scalar multiples of the trace element, and arise because the category of finite dimensional representations of a group is compact [26]. Thus, while the category of finite-dimensional vector spaces is a model of multiplicative linear logic, it will not satisfy the appropriate full completeness theorem.

Notice also that this lemma contains the first hint of a completeness theorem. A dinatural interpreting the nonderivable sequent  $\vdash \alpha \otimes \alpha^\perp$  instantiated at  $V$  would correspond to a point of  $V \otimes V^\perp$ , which is fixed for all actions induced by actions on  $V$ . The above lemma implies that such a dinatural must be 0 on all infinite dimensional instantiations. We will soon see that this implies the dinatural must be identically 0.

**Lemma 9.3** *Given a binary sequent of the form:*

$$(6) \quad \Gamma, \alpha_1 \multimap \alpha_2, \alpha_2 \multimap \alpha_3, \dots, \alpha_{n-1} \multimap \alpha_n \vdash \alpha_1^\perp \otimes \alpha_n$$

*suppose each  $\alpha_i$  is instantiated at the same space  $V \in \mathcal{RTV}\mathcal{EC}$  (The atoms in  $\Gamma$  may be instantiated at any spaces). Let  $\theta$  be a uniform dinatural transformation interpreting this sequent. Suppose there exist elements  $\vec{a} \in \Gamma, f_1 \in \alpha_1 \multimap \alpha_2, f_2 \in \alpha_2 \multimap \alpha_3, \dots, f_{n-1} \in \alpha_{n-1} \multimap \alpha_n$  such that, at the above instantiation,  $\theta(\vec{a}, f_1, \dots, f_{n-1}) \neq 0$ . Then  $\theta(\vec{a}, id, \dots, id) \neq 0$ .*

**Proof.** Consider a dinatural  $\theta \in \mathbf{Z} - \text{Dinat}(\Gamma \otimes (\alpha_1 \multimap \alpha_2) \otimes \dots \otimes (\alpha_{n-1} \multimap \alpha_n), \alpha_n)$ . Such a dinatural is a family of morphisms  $\theta_{A_1 \dots A_n}$  satisfying the following diagram: for all  $n$ -tuples of objects  $A_1 A_2 \dots A_n, B_1 B_2 \dots B_n$  in  $\mathcal{RTV}\mathcal{EC}$  and morphisms  $f_i : A_i \rightarrow B_i$ , the following hexagon commutes

$$\Gamma \otimes (A_1 \multimap A_2) \otimes \dots \otimes (A_{n-1} \multimap A_n) \xrightarrow{\theta_{A_1 \dots A_n}} A_1^\perp \otimes A_n \xrightarrow{A_1^\perp \otimes f_n} \Gamma \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n) \xrightarrow{\theta_{B_1 \dots B_n}} A_1^\perp \otimes A_n \xrightarrow{A_1^\perp \otimes f_n} \Gamma \otimes (A_1 \multimap A_2) \otimes \dots \otimes (A_{n-1} \multimap A_n)$$

Commutativity of this hexagon corresponds to the following equation: for all  $\vec{a} \otimes g_2 \otimes \dots \otimes g_n \in \Gamma \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n)$ ,

$$(7) \quad A_1^\perp \otimes f_n(\theta_{A_1 \dots A_n}(\vec{a}, g_2 \circ f_1, g_3 \circ f_2 \dots g_n \circ f_{n-1})) = f_1^\perp \otimes B_n(\theta_{B_1 \dots B_n}(\vec{a}, f_2 \circ g_2 \dots f_n \circ g_n))$$

Recalling that all objects  $A_i$  and  $B_i$  (in  $\theta$ 's components) are instantiated at  $V$ , we simply write  $\theta$  instead of  $\theta_{A_1 \dots A_n}$  or  $\theta_{B_1 \dots B_n}$ . Consider the element  $a \otimes id \otimes \dots \otimes id \in \Gamma \otimes (B_1 \multimap$

$A_2) \otimes \cdots \otimes (B_{n-1} \multimap A_n)$ . Set  $f_n : A_n \rightarrow B_n = id_V$ . Chasing this element around the hexagon (i.e. evaluating equation 7 with  $f_n = g_2 = \cdots = g_n = id_V$ ) we obtain

$$(8) \quad \theta(\vec{a}, f_1, \dots, f_{n-1}) = f_1^\perp \otimes B_n(\theta(\vec{a}, f_2, \dots, f_{n-1}, id))$$

Since  $\theta(\vec{a}, f_1, \dots, f_{n-1}) \neq 0$  it follows that  $\theta(\vec{a}, f_2, \dots, f_{n-1}, id) \neq 0$ . Repeating this process, we obtain the statement of the lemma.  $\square$

**Lemma 9.4** *Suppose  $\theta$  is a uniform dinatural interpreting sequent (6), and suppose each  $\alpha_i$  is instantiated at  $V$ , an infinite dimensional object in  $\mathcal{RTVEC}$ . Then  $\theta$  is identically zero for this instantiation.*

**Proof.** Choose  $v \in V \otimes V^\perp$ ,  $v \neq 0$ . We define actions on the spaces instantiating the atoms of sequent (6); these atoms consist of those in  $\Gamma$  together with  $\alpha_1, \dots, \alpha_n$ . The spaces instantiating the atoms in  $\Gamma$  are given the trivial action.  $V$  is given an action for which  $v$  is not fixed by the induced action on  $V \otimes V^\perp$ . By lemma 9.2, we know such an action exists. Since the identity map is fixed under any action, we conclude that  $\theta(\vec{a}, id, \dots, id) \neq v$ : this follows since a uniform dinatural takes fixed points to fixed points. Since  $v$  is arbitrary, then  $\theta(\vec{a}, id, \dots, id) = 0$ . The result now follows from the previous lemma.  $\square$

**Lemma 9.5** *If  $\theta$  is a uniform dinatural interpreting (6) then  $\theta$  is identically zero on all instantiations.*

**Proof.** Consider a dinatural  $\theta \in \mathbf{Z} - \text{Dinat}(\Gamma \otimes (\alpha_1 \multimap \alpha_2) \otimes \cdots \otimes (\alpha_{n-1} \multimap \alpha_n), \alpha_1^\perp \otimes \alpha_n)$ , i.e. a family of morphisms satisfying the following diagram: for all  $n$ -tuples of objects  $A_1 A_2 \cdots A_n$ ,  $B_1 B_2 \cdots B_n$  in  $\mathcal{RTVEC}$  and morphisms  $f_i : A_i \rightarrow B_i$ , the following hexagon commutes

$$\Gamma \otimes (A_1 \multimap A_2) \otimes \cdots \otimes (A_{n-1} \multimap A_n) \xrightarrow{\theta_{A_1 \cdots A_n}} A_1^\perp \otimes A_n \xrightarrow{A_1^\perp \otimes f_n} \Gamma \otimes (B_1 \multimap A_2) \otimes \cdots \otimes (B_{n-1} \multimap A_n) \xrightarrow{f_1 \otimes \cdots \otimes f_n} \Gamma \otimes (B_1 \multimap A_2) \otimes \cdots \otimes (B_{n-1} \multimap A_n) \xrightarrow{\theta_{B_1 \cdots B_n}} B_1^\perp \otimes B_n$$

In this proof we will consider the upper leg of the diagram. Suppose that the  $A_i$  are instantiated at arbitrary spaces  $V_i$ . Set

$$B \equiv B_1 = B_2 = \cdots = B_n = \left( \bigoplus_i V_i \right) \oplus k[\mathbf{Z}]^{\perp\perp}$$

The purpose is to create an infinite dimensional space into which all the  $V_i$  embed. (Note that  $k[\mathbf{Z}]^{\perp\perp}$  is an object of  $\mathcal{RTVEC}$ , but  $k[\mathbf{Z}]$  is not.) If one of the  $V_i$  is already infinite dimensional, the summand  $k[\mathbf{Z}]^{\perp\perp}$  is unnecessary. Let  $f_i : A_i \rightarrow B$  be the canonical embedding. Given an arbitrary element  $\vec{a} \otimes h_1 \otimes \cdots \otimes h_{n-1} \in \Gamma \otimes (A_1 \multimap A_2) \otimes \cdots \otimes (A_{n-1} \multimap A_n)$  define an element  $\vec{a} \otimes d_1 \otimes \cdots \otimes d_{n-1} \in \Gamma \otimes (B_1 \multimap A_2) \otimes \cdots \otimes (B_{n-1} \multimap A_n)$  as follows:  $d_i : B \rightarrow A_i$  is  $h_i$  on the  $i$ th component, 0 elsewhere. By the previous lemma 9.4, we know the lower leg of the diagram is zero. Therefore, chasing the upper leg of the diagram, we conclude that  $(A_1^\perp \otimes f_n) \circ \theta(\vec{a} \otimes h_1 \otimes \cdots \otimes h_{n-1}) = 0$ . Since  $f_n$  is monic, the result follows.  $\square$

**Proof.** We now prove Theorem 9.1 (the completeness theorem). Suppose we have an undervivable binary sequent which is also simple. Since the sequent is not derivable, the associated proof structure has a cycle. Isolate those formulas of the sequent which appear in the cycle. Choose one such formula, and bring all other formulas to the other side of the sequent using linear negation. Then, the first observation is that any such sequent must be of the form (6). Thus the previous lemma establishes the result for undervivable simple sequents. Now suppose that we have an arbitrary undervivable binary sequent. We reduce to the simple case by repeatedly left-composing with the morphisms:

$$\delta: A \otimes (B \wp C) \rightarrow (A \otimes B) \wp C$$

$$\delta': A \otimes (B \wp C) \rightarrow (A \otimes C) \wp B$$

$$\xi: A \otimes B \rightarrow A \wp B$$

Since these morphisms are monic, the general case follows (see Remarks below).  $\square$

**Remark 9.6** The above proof involves a subtlety: we are composing a sequent (interpreted as a dinatural transformation) with one of the maps  $\delta, \delta', \xi$ ; the latter, as sequents, are also dinaturals. How do we know such dinaturals compose? The reason is that in fact these maps  $\delta, \delta', \xi$  are really *natural* transformations, and natural transformations do compose with dinaturals.

As an example of this phenomenon in the reduction to simple sequents, consider the composition (using the cut-rule) of the binary, nonsimple sequent

$$\vdash (\alpha \otimes \beta^\perp) \otimes (\beta \otimes \alpha^\perp)$$

with the mix map  $\xi$  (qua sequent)

$$(\alpha \otimes \beta^\perp) \otimes (\beta \otimes \alpha^\perp) \vdash (\alpha \otimes \beta^\perp) \wp (\beta \otimes \alpha^\perp) \quad .$$

This composition yields the sequent  $\vdash (\alpha \otimes \beta^\perp) \wp (\beta \otimes \alpha^\perp)$ , which is the same as the simple binary sequent  $\vdash (\alpha \otimes \beta^\perp), (\beta \otimes \alpha^\perp)$ .

Let us examine the dinatural interpretation of this composition in  $\mathcal{RTV}\mathcal{EC}$ :

1. The sequent  $\vdash (\alpha \otimes \beta^\perp) \otimes (\beta \otimes \alpha^\perp)$  interprets as a dinatural

$$\theta : F \rightrightarrows G : (\mathcal{C}^{op})^2 \times \mathcal{C}^2 \rightarrow \mathcal{C}$$

where  $F = \llbracket I \rrbracket = \mathbf{k}$ , the constant functor with value the base field (= unit for the tensor) and  $G = \llbracket (\alpha \otimes \beta^\perp) \otimes (\beta \otimes \alpha^\perp) \rrbracket = (\Pi_1 \otimes \Pi_2^\perp) \otimes (\Pi_2 \otimes \Pi_1^\perp)$ . Here  $\Pi_i$  denotes the projection onto the  $i$ th covariant variable and  $\Pi_i^\perp$  denotes the linear negation of the projection onto the  $i$ th contravariant variable ( $i = 1, 2$ ). Since  $F$  is constant, the dinatural hexagon for  $\theta$  degenerates into a diamond shape (a so-called *wedge*). We

leave it to the reader to calculate the following diagram for  $\theta$ : for any  $f : C \rightarrow A$  and  $g : D \rightarrow B$ ,

$$(A \otimes B^\perp) \otimes (B \otimes A^\perp) \xrightarrow{\theta_{AB}(A \otimes g^\perp) \otimes (B \otimes f^\perp)} \mathbf{k}(A \otimes D^\perp) \otimes (B \otimes C^\perp) \xrightarrow{\theta_{CD}(f \otimes D^\perp) \otimes (g \otimes C^\perp)} (C \otimes D^\perp) \otimes (D \otimes C^\perp)$$

2. Composing the above diagram for  $\theta$  with the natural transformation associated to the MIX map  $\xi$ , we obtain the following combined commutative diagram, thus a dinatural transformation:

$$(A \otimes B^\perp) \otimes (B \otimes A^\perp) \xrightarrow{mix} (A \otimes B^\perp) \mathfrak{A} (B \otimes A^\perp) \xrightarrow{\theta_{AB}(A \otimes g^\perp) \otimes (B \otimes f^\perp)} \mathbf{II}_{(A \otimes g^\perp) \mathfrak{A} (B \otimes f^\perp)} \mathbf{kI} (A \otimes D^\perp) \otimes (B \otimes C^\perp)$$

In the above diagram, we have omitted the appropriate subscripts on the MIX natural transformation. The commutative diagram **II** arises since *mix* is a natural transformation  $(A \otimes (-)) \otimes (B \otimes (-)) \rightarrow (A \otimes (-)) \mathfrak{A} (B \otimes (-))$ . Similarly, **III** arises since *mix* is a natural transformation  $((-) \otimes D^\perp) \otimes ((-) \otimes C^\perp) \rightarrow ((-) \otimes D^\perp) \mathfrak{A} ((-) \otimes C^\perp)$ .

## 10 Full Completeness

We are interested in *Full Completeness*, that is, when is a space of dinaturals generated by (the denotations of) syntactic proof terms? In this setting, syntactic proof terms take on the form of proof nets. We begin by establishing that a nonzero uniform dinatural can be assigned axiom links.

**Lemma 10.1** *Let  $M, N$  be MLL formulas. If  $\mathbf{Z} - \text{Dinat}(M, N)$  has dimension greater than 0, then the sequent  $M \vdash N$  is balanced.*

**Proof.** Suppose  $\sigma$  is a nonzero dinatural transformation, and that  $\sigma_{\mathbf{A}}$  is an instantiation for which it is nonzero. Let  $v \in M[\mathbf{A}]$  be such that  $\sigma_{\mathbf{A}}(v) \neq 0$ . Let  $\alpha_1, \dots, \alpha_n$  be the atoms of  $M \vdash N$ , and  $\mathbf{A} = A_1, \dots, A_n$  the corresponding spaces. We define actions on each  $A_i$  as follows. Pick distinct prime numbers  $p_1, \dots, p_n$  and define the  $A_i$  action to be  $n \bullet v = p_i^n v$ , for all  $v \in A_i$ . Since  $\sigma$  is uniform, we know that:

$$\sigma(1 \bullet v) = 1 \bullet \sigma(v)$$

Consider for example a positive occurrence of  $\alpha_i$  in  $M$ . Each such occurrence contributes a factor of  $p_i$  to the left hand side of the equation. For the equation to hold, we conclude that there must either be:

- a negative occurrence of  $\alpha_i$  in  $M$ , which would contribute a factor of  $p_i^{-1}$ .
- a positive occurrence of  $\alpha_i$  in  $N$ .

A similar claim holds for all occurrences of variables, and it is clear that such occurrences must come in pairs, thus establishing that the sequent is balanced.  $\square$

Thus, for a sequent to have a nonzero proof structure, it must be a balanced sequent. So for the remainder of this section we will only consider such sequents. We begin by restricting to binary sequents, that is, sequents where each variable appears exactly twice.

If the sequent  $M \vdash N$  is balanced, then one can associate to it a cut-free proof structure by choosing a pairing of the variables, and viewing this pairing as a set of axiom links. As previously remarked, given a sequent together with its axiom links, the cut-free proof structure is uniquely determined. To a binary sequent, we can only associate one set of axiom links. Thus the sequent is assigned a unique cut-free proof structure. To a nonbinary sequent, we associate a finite set of cut-free proof structures.

## 10.1 Binary Sequents

We begin by considering simple sequents.

**Lemma 10.2** *The space of proofs of the derivable binary sequent*

$$(9) \quad \alpha_1, \alpha_1 \multimap \alpha_2, \alpha_2 \multimap \alpha_3, \dots, \alpha_{n-1} \multimap \alpha_n \vdash \alpha_n$$

*has dimension 1. That is, the space*

$$\mathbf{Z} - \text{Dinat}(\alpha_1 \otimes (\alpha_1 \multimap \alpha_2) \otimes \dots \otimes (\alpha_{n-1} \multimap \alpha_n), \alpha_n)$$

*consists of scalar multiples of the canonical dinatural transformation of this shape, which is the dinatural of the form:*

$$(v, f_1, f_2, \dots, f_{n-1}) \mapsto f_{n-1}(f_{n-2}(\dots f_1(v)) \dots)$$

**Proof.** Consider a dinatural  $\theta \in \mathbf{Z} - \text{Dinat}(\alpha_1 \otimes (\alpha_1 \multimap \alpha_2) \otimes \dots \otimes (\alpha_{n-1} \multimap \alpha_n), \alpha_n)$ . Such a dinatural is a family of morphisms  $\theta_{A_1 \dots A_n}$  satisfying the following diagram: for all  $n$ -tuples of objects  $A_1 A_2 \dots A_n$ ,  $B_1 B_2 \dots B_n$  in  $\mathcal{RTV}\mathcal{EC}$  and morphisms  $f_i : A_i \rightarrow B_i$ , the following hexagon commutes

$$A_1 \otimes (A_1 \multimap A_2) \otimes \dots \otimes (A_{n-1} \multimap A_n) \xrightarrow{\theta_{A_1 \dots A_n}} A_n \xrightarrow{f_n} A_1 \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n) B_n$$

Commutativity of this hexagon corresponds to the following equation (which is a generalization of equation 5): for  $(v, g_2 \dots g_n) \in A_1 \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n)$ :

$$(10) \quad f_n(\theta_{A_1 \dots A_n}(v, g_2 \circ f_1, g_3 \circ f_2 \dots g_n \circ f_{n-1})) = \theta_{B_1 \dots B_n}(f_1(v), f_2 \circ g_2 \dots f_n \circ g_n)$$

We begin by instantiating a  $\theta$  at a single space  $V$ ; e.g. in  $\theta_{A_1 \dots A_n}$  set  $A_1 = A_2 = \dots = A_n = V$ . Given  $v \in V$  define an action on  $V$  which fixes  $v$  but has a  $p$ -action on a complementary subspace. It can be shown that such actions are continuous, by previous arguments.



We calculate  $\theta_{A_1 \dots A_n}(v, id, \dots, id)$ . Since the point  $(v, id, \dots, id)$  is fixed under the above action, we have

$$(11) \quad \theta_{A_1 \dots A_n}(v, id, \dots, id) = rv$$

for some scalar  $r$ . We show that  $r$  is independent of the choice of  $v \in V$ .

To this end, consider the above hexagon with all spaces  $A_i = B_i = V$ . Now pick another vector  $v' \in V, v' \neq 0$ . Choose a continuous involution  $i_{v'} : V \rightarrow V$  satisfying  $i_{v'}(v) = v'$ , and  $i_{v'}^2 = id$ . Consider the following element  $(v, i_{v'}, i_{v'} \dots i_{v'}) \in A_1 \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n)$  and let all  $f_1 = f_2 = \dots = f_{n-1} = i_{v'}$ . Chasing around the hexagon, the upper leg gives  $rv'$ , whereas the lower gives  $\theta(v', id, \dots, id)$ . Thus  $\theta(v', id, \dots, id) = rv'$ , as required.

We now show that in this instantiation of the hexagon (i.e. when all  $A_i$  and  $B_i$  equal  $V$ ):

$$\theta_{A_1 \dots A_n}(v, f_1, \dots, f_{n-1}) = r f_{n-1}(\dots f_1(v))$$

where each  $f_i$  is an arbitrary endomorphism of  $V$ , and for the same  $r$  as in equation (11). To prove this, consider the previous hexagon (i.e. with all  $A_i$  and  $B_i$  equal  $V$ ), and set  $f_n = id$ . Consider  $(v, id, id \dots id) \in A_1 \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n)$ . Then going around the upper leg gives  $\theta_{A_1 \dots A_n}(v, f_1, \dots, f_{n-1})$ , while going around the lower leg gives  $\theta_{B_1 \dots B_n}(f_1(v), f_2, \dots, f_{n-1}, id)$ . By induction, we conclude that

$$\theta_{V \dots V}(v, f_1, \dots, f_{n-1}) = r(f_{n-1}(\dots f_1(v)))$$

We now show that the scalar  $r$  in the equations above is independent of the space  $V$  at which we uniformly instantiate. Denote the  $r$  above by  $r_V$ . We shall now show that  $r_V = r_{\mathbf{k}}$ , where  $\mathbf{k}$  is the base field. Instantiate the hexagon (i.e. equation (10)) at  $A_i = V$  and  $B_i = \mathbf{k}$ , for all  $i$ . Consider the element  $(v, \hat{v}, \dots, \hat{v}) \in A_1 \otimes (B_1 \multimap A_2) \otimes \dots \otimes (B_{n-1} \multimap A_n)$ , where  $\hat{v} : \mathbf{k} \rightarrow V$  is the map sending 1 to  $v$ . Choose  $f : V \rightarrow \mathbf{k}$  such that  $f(v) = 1$ . Set  $f_1, f_2, \dots = f$ . Now by considering the diagram, the upper leg gives  $r_V$  and the lower gives  $r_{\mathbf{k}}$ .

Finally, we need to verify the result on arbitrary instantiations. Consider the instantiation  $\theta_{C_1 \dots C_n}$  where each  $\alpha_i$  is instantiated at  $C_i$ , an arbitrary object. Next we set each  $B_i = V = \bigoplus C_i$ . The maps  $C_i \rightarrow B_i$  are the canonical inclusions.

Suppose we have  $c \otimes f_1 \otimes f_2 \dots f_{n-1} \in C_1 \otimes (C_1 \multimap C_2) \dots (C_{n-1} \multimap C_n)$ . Define an element of  $C_1 \otimes (V \multimap C_2) \otimes \dots \otimes (V \multimap C_n)$  by defining a map  $V \rightarrow C_i$  to be  $f_{i-1}$  on  $C_{i-1}$  and 0 elsewhere.

The commutativity of the above diagram gives:

$$\theta_{C_1 \dots C_n}(c, f_1, \dots, f_{n-1}) = r(f_{n-1}(\dots f_1(c)))$$

□

**Theorem 10.3 (Full Completeness for Binary Sequents)** *If a sequent  $M \vdash N$  is binary, then  $\mathbf{Z} - \text{Dinat}(M, N)$  is zero or 1-dimensional, depending on whether its uniquely determined proof structure is a net.*

**Proof.** If  $M \vdash N$  is not derivable, the result follows from the completeness theorem.

For the other direction, first observe that all of the connected components of a derivable binary simple sequent in the theory  $MLL + MIX$  are of the form (9). The disjoint components must have disjoint atoms, since the sequent is binary. Thus the argument establishing the preceding lemma can be carried out “in parallel” on the various pieces. For example, if there are two disjoint components, the codomain of the dinatural will be of the form  $A \wp B$ . If  $v \in A$  and  $w \in B$ , then the image of  $(v, id, \dots, id, w, id, \dots, id)$  will be a scalar multiple of the morphism mapping  $f \otimes g$  to  $f(v)g(w)$ , where  $f \in A^\perp$  and  $g \in B^\perp$ . (Remember that  $A \wp B = (A^\perp \otimes B^\perp)^\perp$ .) The various diagram chases necessary to establish the previous lemma, go through in this more general setting as well.

Now given a derivable binary sequent, we associate to it a set of simple binary sequents, by the methods described in section 2.4. Since the sequent is derivable, each of these simple sequents must be derivable, and so has a one-dimensional proof space. We know that the proof space of the original sequent is at least one-dimensional, since it will contain the denotation of the cut-free proof. If it were greater than one dimensional, then the proof space of one of the associated simple sequents, would have to also be at least two-dimensional. This is because the associated simple sequents are obtained by left composition with the structure maps described in Lemma 9.5, and these maps are monic, and so have trivial kernels.  $\square$

**Remark 10.4** The dinatural transformations interpreting binary sequents in the above theorem will be called *binary* dinatural transformations.

This result establishes full completeness for arbitrary binary sequents. Given a derivable such sequent, we see that the only “abstract proofs” are scalar multiples of the denotation of the unique cut-free proof.

## 10.2 Nonbinary Sequents

The above result could be seen as the main theorem of the paper as it is the binary sequents which are fundamental in linear logic. Nonbinary balanced sequents are obtained as substitution instances of these. This philosophy is discussed in [9]. In that paper, a general system known as the *Autonomous Deductive System (ADS)* is presented. An *ADS* is a method of specifying theories of monoidal categories by the addition of nonlogical axioms to (multiplicative) linear logic. One of the fundamental restrictions in the definition of *ADS* is that if one wishes to add nonbinary axioms, one must add an associated binary axiom of which it is a substitution instance.

This corresponds to the idea that in a proof net, it is the axiom links themselves which are functioning as variables. An analogue of  $\alpha$ -conversion for linear logic should say that in a proof structure, one should be allowed to substitute distinct variables when two variables

are not connected by an axiom link. With this interpretation in mind, given a nonbinary balanced sequent, we will only consider those dinaturals which are (linear combinations of) substitution instances of binary dinaturals. Such dinaturals will be called *diadditive*.

Consider as an example the sequent  $\alpha \otimes \alpha \vdash \alpha \otimes \alpha$ . There are two canonical proofs of this sequent. These are modeled in a  $*$ -autonomous category by the identity morphism and the symmetry map. Thus the proof space associated to this sequent should be a two-dimensional space. In other words, every uniform dinatural of this shape should be a linear combination of the identity dinatural and the “twist” dinatural.

We investigate this question in more detail. First note that to any balanced sequent, say  $M \vdash N$ , we can assign a set of sets of axiom links. This assignment determines a finite list of binary sequents of which  $M \vdash N$  is a substitution instance. Suppose this list is:  $M_1 \vdash N_1, M_2 \vdash N_2, \dots$  (The list must be finite.) We define a new vector space, called the *associated binary space* for the sequent  $M \vdash N$ .

$$\mathcal{ABS}(M, N) = \coprod_i \mathbf{Z} - \text{Dinat}(M_i, N_i)$$

By the completeness theorem, we know that each  $\mathbf{Z} - \text{Dinat}(M_i, N_i)$  is either 0- or 1-dimensional, and in the latter case, the space is generated by the denotation of the unique cut-free proof of the sequent.

**Example 10.5** Consider the (derivable) sequent  $\alpha, \alpha \multimap \alpha \vdash \alpha$ . For later purposes, it is convenient to consider this as a left-sided sequent:  $\alpha, \alpha \multimap \alpha, \alpha^\perp \vdash$ . There are two associated sets of axiom links:

$$\overline{\alpha, \alpha \multimap \alpha}, \alpha^\perp \vdash \quad \text{and} \quad \overline{\alpha, \overline{\alpha \multimap \alpha}, \alpha^\perp} \vdash$$

These yield (respectively) the following binary sequents:

- (a)  $\alpha, \alpha \multimap \beta, \beta^\perp \vdash$
- (b)  $\alpha, \beta \multimap \beta, \alpha^\perp \vdash$

The associated binary space  $\mathcal{ABS}$  of the original sequent  $\alpha, \alpha \multimap \alpha \vdash \alpha$  is the coproduct of the proof spaces associated to (a) and (b). Using full completeness for binary sequents we obtain: for (a), the sequent is derivable; so the space of  $\mathbf{Z}$ -dinats in this case has dimension 1. For (b), the sequent is not derivable, so the space of  $\mathbf{Z}$ -dinats in this case has dimension 0. Thus  $\mathcal{ABS}$  has dimension  $1 + 0 = 1$ , as expected.  $\square$

There is a canonical linear map:

$$\varphi : \mathcal{ABS}(M, N) \longrightarrow \mathbf{Z} - \text{Dinat}(M, N)$$

On basis elements, this is defined by “equating variables” in the associated binary sequents  $M_i \vdash N_i$ , or more formally, restricting which instantiations we will allow according to the pattern of in  $M \vdash N$  (see the previous example). At the level of dinaturality, this amounts to restricting the acceptable instantiations.

**Definition 10.6** We call those elements of  $\mathbf{Z} - \text{Dinat}(M, N)$  of the form  $\varphi(\mathcal{S})$  for a (necessarily unique)  $\mathcal{S} \in \mathcal{ABS}(M, N)$  *diadditive*.

Equivalently, a diadditive dinatural transformation is a transformation which is a linear combination of substitution instances of binary dinaturals.

Note that if the sequent is binary, every  $\mathbf{Z}$ -uniform dinatural is automatically diadditive.

**Example 10.7** In Example 10.5, consider the sequent  $\alpha \otimes (\alpha \multimap \beta) \vdash \beta$ . We will only allow instantiations (in  $\mathcal{RTVE}$ ) of the form  $\alpha = \beta = V$ . Clearly the resulting dinatural transformation lives in  $\mathbf{Z} - \text{Dinat}(M, N)$ .

Given a diadditive element of  $\mathbf{Z} - \text{Dinat}(M, N)$ , we give a method for determining the unique  $\mathcal{S} \in \mathcal{ABS}(M, N)$ .

Suppose that the atom  $\alpha$  occurs in the sequent  $M \vdash N$ . We introduce a stock of new variables  $\mathcal{X}_1^\alpha, \mathcal{X}_2^\alpha, \mathcal{X}_3^\alpha, \dots$ . We will consider formal expressions of the form:  $\mathcal{X}_1^\alpha \oplus \mathcal{X}_2^\alpha \oplus \dots \oplus \mathcal{X}_n^\alpha$ . This is designed to represent the idea that we will be instantiating the atom  $\alpha$  at  $n$ -ary coproducts. The variables  $\mathcal{X}_i^\alpha$  will represent the summands, which we will allow to vary over arbitrary objects of  $\mathcal{RTVE}$ . In such instantiations, we will only consider morphisms of the form

$$\mathcal{X}_1^\alpha \oplus \mathcal{X}_2^\alpha \oplus \dots \oplus \mathcal{X}_n^{\alpha f_1 \oplus f_2 \oplus \dots \oplus f_n} \mathcal{W}_1^\alpha \oplus \mathcal{W}_2^\alpha \oplus \dots \oplus \mathcal{W}_n^\alpha$$

Now for the construction. We restrict our attention to *left-only* sequents, i.e. sequents of the form  $\Gamma \vdash$ . Since we are working in a  $*$ -autonomous category, this is not a real restriction. Suppose that the atom  $\alpha$  occurs  $2n$  times in the sequent  $\Gamma$ ,  $n$  times covariantly and  $n$  times contravariantly. Finally suppose we have  $\theta \in \mathbf{Z} - \text{Dinat}(\Gamma, )$ . In  $\theta$ , we will instantiate  $\alpha$  at the formal coproduct:

$$\alpha = \mathcal{X}_1^\alpha \oplus \mathcal{X}_2^\alpha \oplus \dots \oplus \mathcal{X}_n^\alpha$$

Repeat this process for all atoms in  $M \vdash N$ .

Given an allowable set of axiom links, number the links connecting  $\alpha$ 's  $1, \dots, n$ . For the two occurrences of  $\alpha$  paired by the  $i$ th axiom link, restrict  $\mathcal{X}_1^\alpha \oplus \mathcal{X}_2^\alpha \oplus \dots \oplus \mathcal{X}_n^\alpha$  to the  $i$ th component (and set the others to  $\mathbf{0}$ ). If  $\alpha$  occurs in the scope of a negation (thus it interprets as a functional) this amounts to ignoring some of the summands of the domain of the functional. Proceed similarly for all atoms in the sequent.

When  $\theta$  is instantiated in this way, it can be interpreted as a (binary) dinatural  $\hat{\theta}$  corresponding to the binary sequent with the chosen set of axiom links. We shall illustrate the construction by an extended example below, but for now continue with the main discussion.

We repeat this process for all choices of allowable axiom links for  $\Gamma \vdash$ . The process yields a finite family of binary dinaturals associated to  $\theta$ , say  $\{\hat{\theta}_1, \dots, \hat{\theta}_m\}$ , where  $m$  is the number of choices of allowable axiom links for  $\Gamma \vdash$ . We then conclude that:

$$\theta = \hat{\theta}_1 + \hat{\theta}_2 + \dots + \hat{\theta}_m$$

We now begin an extended example illustrating the above procedure.

**Example 10.8** We consider the sequents in Example 10.5.

- (a) Consider the sequent  $\alpha, \alpha \multimap \alpha, \alpha^\perp \vdash$ . This sequent is equivalent to the sequent  $\alpha \otimes (\alpha \multimap \alpha) \otimes \alpha^\perp \vdash I$ , where  $I$  is the unit. Let  $\mathcal{C} = \mathcal{RTVE}\mathcal{C}$ . The sequent interprets as a dinatural  $\theta : F \rightarrow G : (\mathcal{C}^{op}) \times \mathcal{C} \rightarrow \mathcal{C}$ , where  $F = P_1 \otimes (P_1 \multimap P_1) \otimes P_1^\perp$  (with  $P_1$  = the covariant projection) and  $G = \llbracket I \rrbracket$  = the constant functor with value  $\mathbf{k}$ , the base field. The reader may verify that  $\theta$  satisfies the following *wedge* (= degenerate hexagon [5]): for any  $h : A \rightarrow B$

$$A \otimes (A \multimap A) \otimes A^{\perp A \otimes (h \multimap A) \otimes h^\perp \theta_A} A \otimes (B \multimap A) \otimes B^\perp \mathbf{k}_{h \otimes (B \multimap h) \otimes B^\perp \theta_B} B \otimes (B \multimap B) \otimes B^\perp$$

Thus for any element  $(a, x, b) \in A \otimes (B \multimap A) \otimes B^\perp$ , we have the equation

$$(12) \quad \theta_A(a, x \circ h, b \circ h) = \theta_B(h(a), h \circ x, b)$$

- (b) The first set of axiom links in Example 10.5 yield the binary sequent  $\alpha, \alpha \multimap \beta, \beta^\perp \vdash$ . This latter sequent is equivalent to  $\alpha \otimes (\alpha \multimap \beta) \otimes \beta^\perp \vdash I$ , where  $I$  is the unit for the tensor. As above, this sequent interprets as a dinatural  $\hat{\theta} : F \rightarrow G : (\mathcal{C}^{op})^2 \times \mathcal{C}^2 \rightarrow \mathcal{C}$ , where  $F = \Pi_1 \otimes (\Pi_1 \multimap \Pi_2) \otimes \Pi_2^\perp$  and  $G = \llbracket I \rrbracket$  = the constant functor with value  $\mathbf{k}$ , the base field. The reader may verify that  $\hat{\theta}$  satisfies the following *wedge* (= degenerate hexagon [5]): for any  $h : A \rightarrow B, h' : A' \rightarrow B'$ :

$$A \otimes (A \multimap A') \otimes (A')^{\perp A \otimes (h \multimap A') \otimes (h')^\perp \hat{\theta}_{AA'}} A \otimes (B \multimap A') \otimes (B')^\perp \mathbf{k}_{h \otimes (B \multimap h') \otimes (B')^\perp \hat{\theta}_{BB'}} B \otimes (B \multimap B') \otimes (B')^\perp$$

Thus for any element  $(a, l, m) \in A \otimes (B \multimap A') \otimes (B')^\perp$ , we have the equation

$$(13) \quad \hat{\theta}_{AA'}(a, l \circ h, m \circ h') = \hat{\theta}_{BB'}(h(a), h' \circ l, m)$$

(c) Let  $\theta$  be a dinatural interpreting the sequent (with axiom links)

$$\bigsqcup \alpha, \alpha \multimap \bigsqcup \alpha, \alpha^\perp \vdash$$

and satisfying equation (12) above. We know these axiom links determine the binary sequent  $\alpha, \alpha \multimap \beta, \beta^\perp \vdash$ . We shall instantiate  $\theta$  at a coproduct  $V \oplus W$ , yielding a family

$$\hat{\theta}_{V,W} : V \otimes (V \multimap W) \otimes W^\perp \rightarrow \mathbf{k}$$

as follows: for the first axiom link, we instantiate at  $V$ , letting  $W = \mathbf{0}$  and vice-versa for the second axiom link.

$$(14) \quad \begin{aligned} \hat{\theta}_{V,W}(v, f, g) &=_{def} \\ \theta_{V \oplus W}((v, 0) \otimes [(\hat{v}, \hat{w}) \mapsto (0, f(\hat{v}))] \otimes [(\hat{v}, \hat{w}) \mapsto g(\hat{w})]) \end{aligned}$$

We now show that  $\hat{\theta}$  is a dinatural transformation, i.e. satisfies equation (13) above (in which we set, respectively,  $A = V, A' = W, B = V', B' = W'$ .) We chase around the above diagram. Consider an element  $(a, l, m) \in V \otimes (V' \multimap W) \otimes (W')^\perp$ .

The upper leg gives

$$(15) \quad \begin{aligned} \hat{\theta}_{V,W}(a, l \circ h, m \circ h') &= \\ \theta_{V \oplus W}((a, 0) \otimes [(\hat{v}, \hat{w}) \mapsto (0, l(h(\hat{v})))] \otimes [(\hat{v}, \hat{w}) \mapsto m(h'(\hat{w}))]) \end{aligned}$$

The lower leg gives

$$(16) \quad \begin{aligned} \hat{\theta}_{V',W'}(h(a), h' \circ l, m) &= \\ \theta_{V' \oplus W'}((h(a), 0) \otimes [(\hat{v}, \hat{w}) \mapsto (0, h'(l(\hat{v})))] \otimes [(\hat{v}, \hat{w}) \mapsto m(\hat{w})]) \end{aligned}$$

We leave it to the reader to verify that the equality of these two expressions follows from the dinaturality equation (12) for  $\theta$ .  $\square$

### 10.3 Main Result

We are now ready to state the main result of the paper, which follows from the previous discussion.

**Theorem 10.9 (Full Completeness)** *Let  $M$  and  $M'$  be formulas in multiplicative linear logic, interpreted as definable multivariate functors on  $\mathcal{RTV}\mathcal{EC}$ . Then the vector space of diadditive  $\mathbf{Z}$ -uniform dinatural transformations  $\mathbf{Z}\text{-Dinat}(M, M')$  has as basis the denotations of cut-free proofs in the theory  $MLL + MIX$ .*

A full completeness theorem establishes such a tight correspondence between syntax and semantics that the syntactic proof of compositionality of definable dinaturals can be lifted to any semantics for which such a theorem holds. Thus one can show:

**Corollary 10.10** *Uniform diadditive dinatural transformations compose. Thus we obtain a  $\ast$ -autonomous category by taking as objects formulas, interpreted as multivariant functors. Morphisms will be uniform diadditive dinatural transformations<sup>2</sup>.*

At this point, we mention an open question. We have been unable to exhibit a  $\mathbf{Z}$ -uniform dinatural which is not diadditive. This leads us to ask: *does  $\mathbf{Z}$ -uniformity imply diadditivity?*

## 11 Hopf Algebras

The representation theory of Hopf algebras provides a natural generalization of that of groups and may ultimately allow us to generalize the previous results to the noncommutative, braided and cyclic settings. We briefly review the basic theory before stating our conservativity result. For a more complete discussion, see [1] or [46].

### 11.1 Definition and Categorical Structure

**Definition 11.1** A *Hopf algebra* is a vector space,  $H$ , equipped with an algebra structure, a compatible coalgebra structure and an antipode. These must satisfy equations as outlined in [46]. The following chart summarizes the necessary structure.

Structure		$\mathbf{k}[G]$
Algebra	$m: H \otimes H \rightarrow H$ $\eta: \mathbf{k} \rightarrow H$	$m(g_1 \otimes g_2) = g_1 g_2$ $\eta(1_{\mathbf{k}}) = 1_G$
Coalgebra	$\Delta: H \rightarrow H \otimes H$ $\epsilon: H \rightarrow \mathbf{k}$	$\Delta(g) = g \otimes g$ $\epsilon(g) = 1_{\mathbf{k}}$
Antipode	$S: H \rightarrow H$	$S(g) = g^{-1}$

One obtains a Hopf algebra from a group  $G$  by taking the vector space generated by the elements of the group. The previous chart also illustrates this associated Hopf structure. Many other examples are discussed in [46] and [1].

We now discuss the representation theory of Hopf algebras. In the following definition  $V$  can either be a vector space, an object of  $\mathcal{TV}\mathcal{EC}$  or  $\mathcal{RTV}\mathcal{EC}$ . In the latter cases, we topologize the Hopf algebra discretely, and use the appropriately topologized tensor product.

**Definition 1** A *(left) module* over a cocommutative Hopf algebra  $H$  is a space  $V$ , together with a linear action map  $\rho: H \otimes V \rightarrow V$  satisfying the following two diagrams:

$$H \otimes H \otimes V \xrightarrow{id \otimes \rho} H \otimes V \xrightarrow{\rho} V \quad H \otimes V \xrightarrow{\rho} V \quad H \otimes V \xrightarrow{id \otimes \rho} H \otimes V \xrightarrow{\rho} V$$

---

<sup>2</sup>In fact, we obtain an indexed  $\ast$ -autonomous category in the sense of [43].

The category of discrete  $H$ -modules and equivariant maps is denoted  $\mathcal{MOD}(H)$ . The category of linearly topologized  $H$ -modules is denoted  $\mathcal{TMOD}(H)$ .

The representations of a Hopf algebra associated to a group correspond precisely to representations of the group.

**Proposition 11.2**  $\mathcal{MOD}(H)$  and  $\mathcal{TMOD}(H)$  are monoidal categories.

**Proof.** If  $U$  and  $V$  are modules, then  $U \otimes V$  has a natural module structure given by:

$$H \otimes U \otimes V \xrightarrow{\Delta \otimes id} H \otimes H \otimes U \otimes V \xrightarrow{c_{23}} H \otimes U \otimes H \otimes V \xrightarrow{\rho \otimes \rho} U \otimes V$$

□

This module will be denoted as  $U \otimes_H V$  or just  $U \otimes V$  if there is no danger of confusion. A significant difference between groups and Hopf algebras is that the tensor in  $\mathcal{MOD}(H)$  need not be symmetric:

**Definition 11.3**  $H$  is said to be *cocommutative* if:

$$H^{\Delta} H \otimes H_{\Delta c_{12}} H \otimes H$$

Here  $c_{12}$  is the canonical symmetry. An easy calculation shows:

**Lemma 11.4** If  $H$  is a cocommutative Hopf algebra,  $\mathcal{MOD}(H)$  and  $\mathcal{TMOD}(H)$  are symmetric monoidal categories.

The fact that  $\mathcal{MOD}(H)$  need not be symmetric means that these categories are of great use in modeling variants of the traditional commutative linear logic. By altering the Hopf algebra, one may obtain models of noncommutative logic, braided logic or cyclic logic. Hopf algebras are viewed as a unifying structure for these different logics, and the structure of the logic you are modeling is reflected in the structure of the algebra. This is discussed in [10].

While  $\mathcal{MOD}(H)$  and  $\mathcal{TMOD}(H)$  may not be symmetric, they will always be closed. For the rest of the section, we will assume  $H$  is cocommutative, although the next result holds in a more general setting [34].

**Theorem 11.5** Let  $H$  be a cocommutative Hopf algebra.  $\mathcal{MOD}(H)$  and  $\mathcal{TMOD}(H)$  are symmetric monoidal closed categories.

**Proof.** In the case of  $\mathcal{MOD}(H)$  the internal  $HOM$  is given by the space of  $\mathbf{k}$ -linear maps with action described as follows:

Let  $V$  and  $W$  be modules,  $f : V \rightarrow W$  a  $\mathbf{k}$ -linear map, and  $v \in V$ . Then define:

$$hf(v) = \Sigma h_1 f(S(h_2)v)$$

where

$$\Delta(h) = \Sigma h_1 \otimes h_2$$

For  $\mathcal{TMOD}(H)$ , the internal  $HOM$  will be the space of  $\mathbf{k}$ -linear continuous maps.

□



Note that the action of the internal  $HOM$  is the obvious generalization of the contragredient representation of groups. It is easily seen that the work of Lefschetz and Barr on the structure of the category  $\mathcal{TV}\mathcal{EC}$  lifts to the category  $\mathcal{TMOD}(\mathbf{H})$ . In particular,

**Theorem 11.6** *If  $V \in \mathcal{TMOD}(\mathbf{H})$ , then  $\mu : V \rightarrow V^{\perp\perp}$  is a bijection. Let  $\mathcal{RTMOD}(\mathbf{H})$  denote the full subcategory of  $\mathcal{TMOD}(\mathbf{H})$  of objects for which  $\mu$  is an isomorphism. Then  $\mathcal{RTMOD}(\mathbf{H})$  is a  $*$ -autonomous category and a reflective subcategory of  $\mathcal{TMOD}(\mathbf{H})$  via the functor  $(-)^{\perp\perp}$ . The forgetful functor from  $\mathcal{RTMOD}(\mathbf{H})$  to  $\mathcal{RTV}\mathcal{EC}$  is a  $*$ -autonomous functor.*

## 11.2 Conservativity Theorem

The following result is a corollary of the previous theorem and the full completeness theorem. It can be viewed as a conservativity result.

**Theorem 11.7** *A diadditive dinatural transformation between definable multivariate functors which is uniform with respect to the additive group of integers is uniform with respect to arbitrary cocommutative Hopf algebras.*

**Proof.** This follows immediately from the fact that the forgetful functor preserves the  $*$ -autonomous structure.  $\square$

These results suggest that general Hopf algebras may be useful in deriving full completeness theorems for noncommutative logics, such as those studied in [4] and [49].

## 12 Conclusion

We now discuss possible extensions of this work.

While Theorem 11.7 is straightforward to prove, it is an extremely suggestive result. In [10], it is observed that Hopf algebras provide a unifying framework for modeling a number of different variants of linear logic. These different variants are obtained by modifying or eliminating the exchange rule. In this way, one obtains noncommutative (planar) linear logic, cyclic linear logic, and braided linear logic. By choosing an appropriate Hopf algebra, we are able to model all of these different variants. The Hopf algebra is used to control the degree of symmetry of the model. Thus the notion of uniformity may be used to derive full completeness theorems for these various fragments. In particular, there is one Hopf algebra, the shuffle algebra, described in [46] and [10], which we conjecture will provide a fully complete semantics for cyclic linear logic.

We also observe that since the category  $\mathcal{RTV}\mathcal{EC}$  is complete and cocomplete, it is possible to model second order quantification *via the end interpretation* of [5]. The extent to which full completeness holds for this fragment is worth exploring.

Finally, we point out that the notion of group action and invariant element recurs throughout mathematics. For example, the notion of a group acting on a graph has been studied

extensively. By allowing a group to act on the underlying web of a coherence space, we are led to the notion of a *G-coherence space*. This structure may prove illuminating for obtaining full completeness for a larger fragment of linear logic.

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